

On finite-memory determinacy of games on graphs

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Based on joint work with Stéphane Le Roux, Youssef Oualhadj,
Mickael Randour, Pierre Vandenhove
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The talk in one slide

Strategy synthesis for two-player games

- Find good and simple controllers for systems interacting with an antagonistic environment

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« Good »?

- Performance w.r.t. objectives / payoffs / preference relations

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- Memoryless strategies
- Finite-memory strategies

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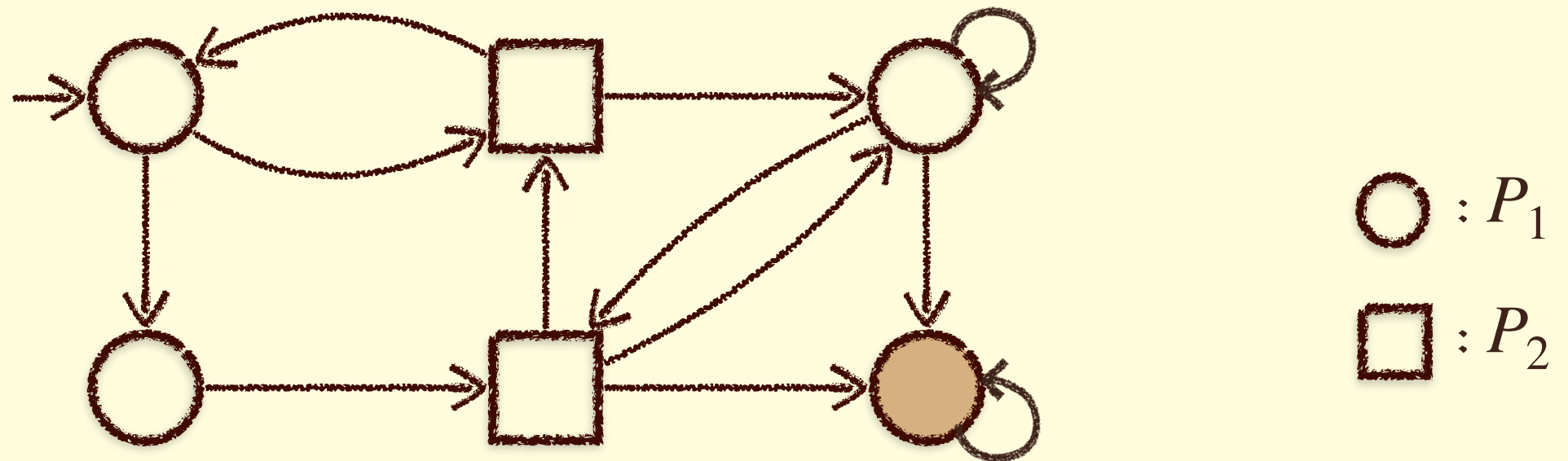
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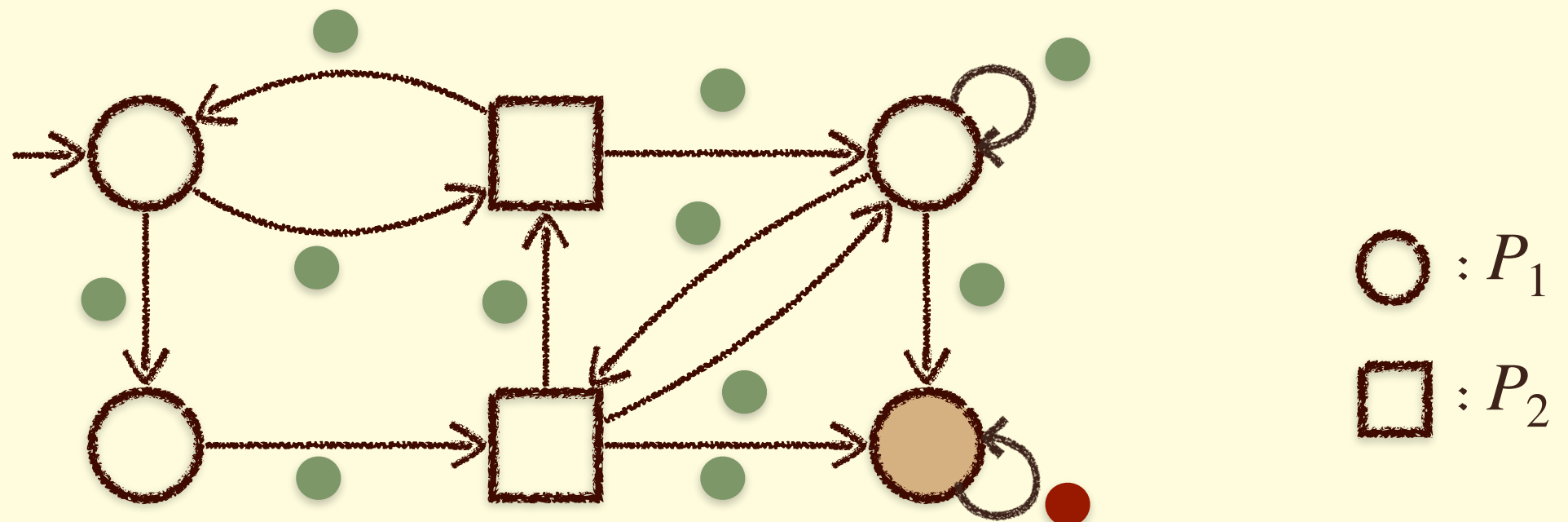
When are simple strategies sufficient to play optimally?

The setting - Example of a game



Reachability winning condition for P_1

The setting - Example of a game

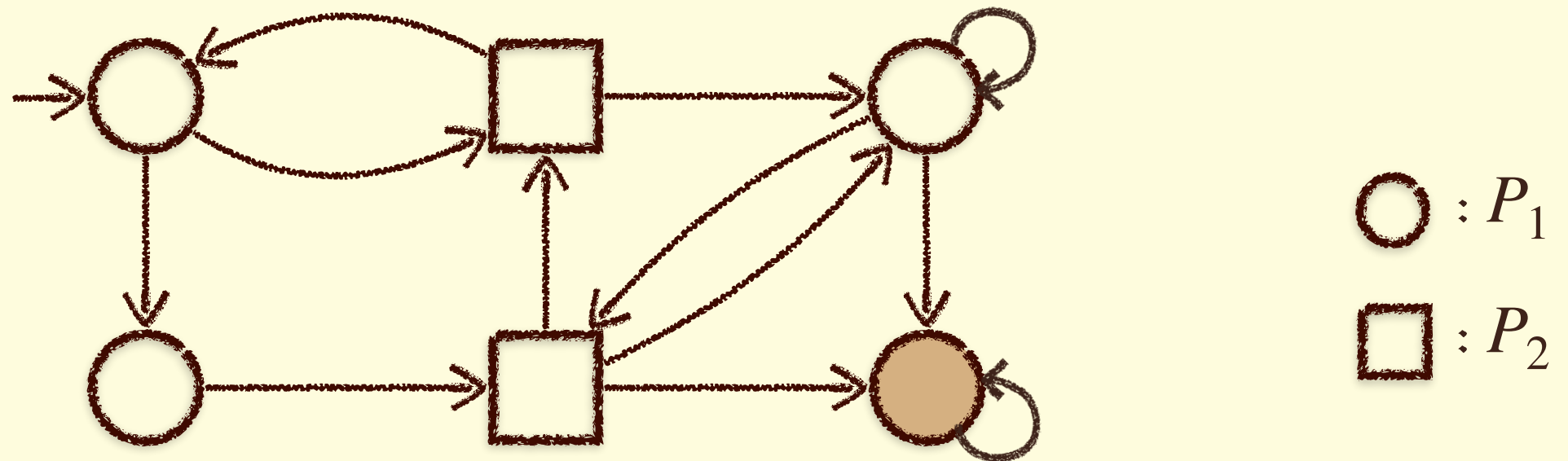


Reachability winning condition for P_1

Use of colors to define winning condition/preference relation

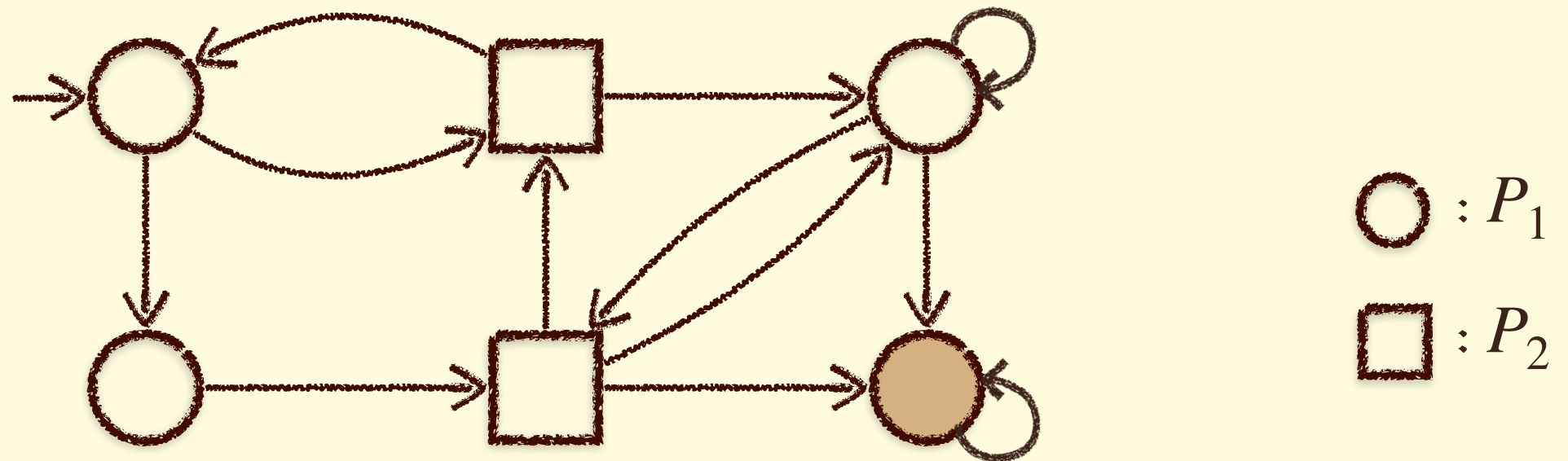
$$\text{green}^* \text{red} (\text{green} + \text{red})^\omega$$

The setting - Example of a game



Reachability winning condition for P_1

The setting - Example of a game



Reachability winning condition for P_1

The game is played using strategies:

$$\sigma_i : S^* S_i \rightarrow E$$

Families of strategies

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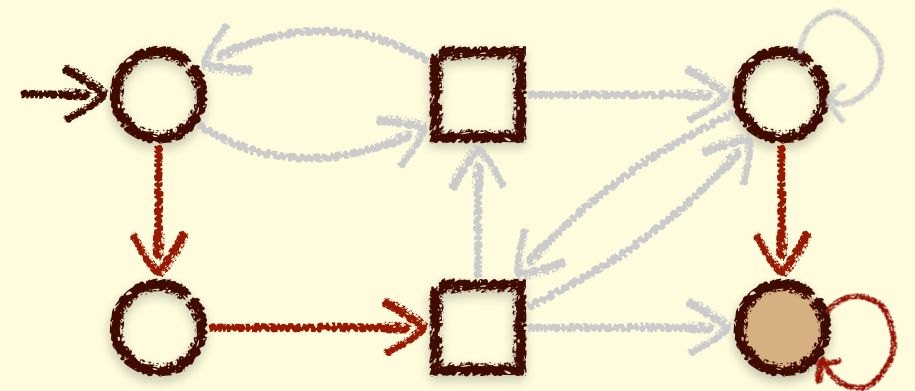
Subclasses of interest

Families of strategies

$$\sigma_i : S^* S_i \rightarrow E$$

Subclasses of interest

- Memoryless strategy: $\sigma_i : S_i \rightarrow E$



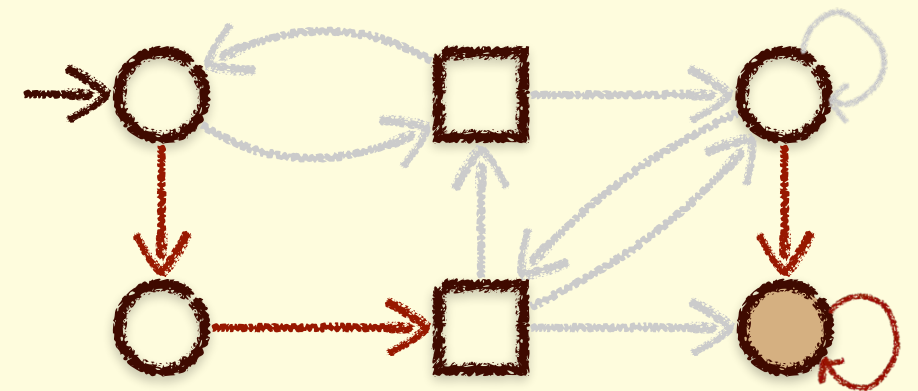
« Reach the target »

Families of strategies

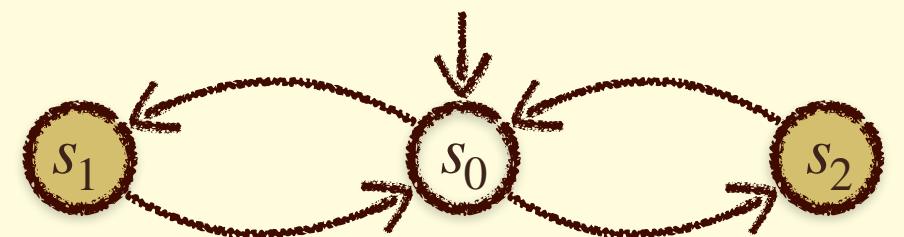
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Subclasses of interest

- Memoryless strategy: $\sigma_i : S_i \rightarrow E$
- Finite-memory strategy: σ_i defined by a finite-state Mealy machine



« Reach the target »



« Visit both s_1 and s_2 »

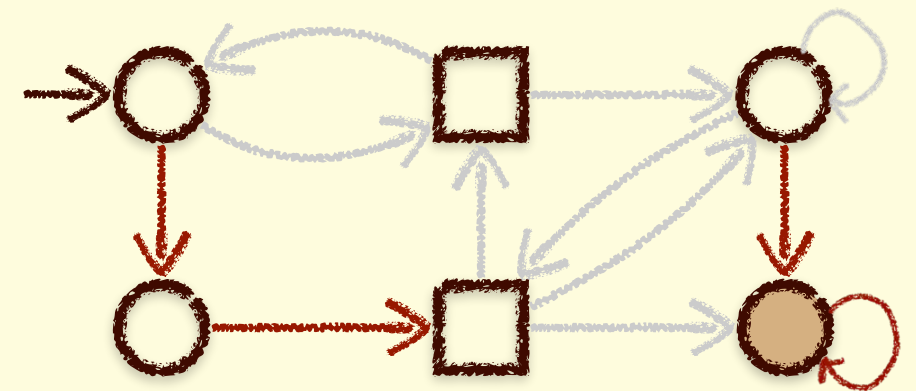
Every odd visit to s_0 , go to s_1
Every even visit to s_0 , go to s_2

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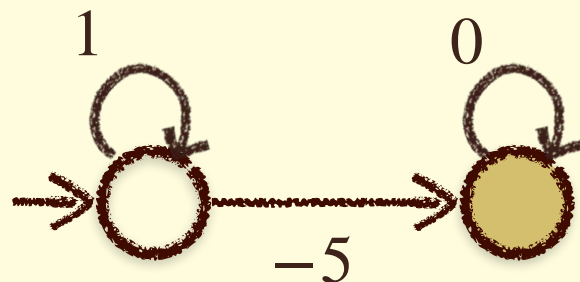
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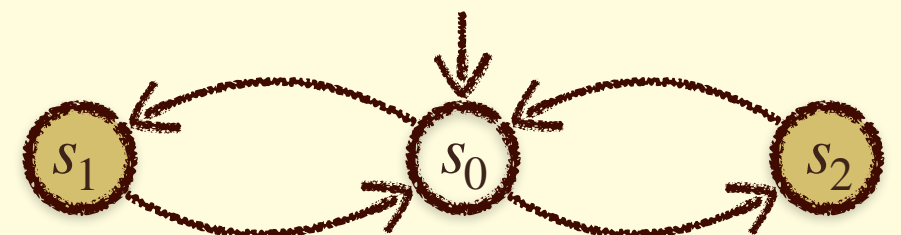


« Reach the target »



« Reach the target with energy 0 »

Loop 5 times in the initial state



« Visit both s_1 and s_2 »

Every odd visit to s_0 , go to s_1
Every even visit to s_0 , go to s_2

The setting - Preference relation

A preference relation $\sqsubseteq$ is a total preorder on C^ω .

$\pi \sqsubseteq \pi'$ and $\pi' \sqsubseteq \pi$ means that π and π' are equally appreciated

$\pi \sqsubseteq \pi'$ and $\pi' \not\sqsubseteq \pi$ means that π' is preferred over π

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Examples

- $W \subseteq C^\omega$ winning condition:
 $\pi \sqsubseteq \pi'$ if either $\pi' \in W$ or $\pi \notin W$
- Quantitative real payoff f
 $\pi \sqsubseteq \pi'$ if $f(\pi) \leq f(\pi')$
Ex: MP, AE, TP

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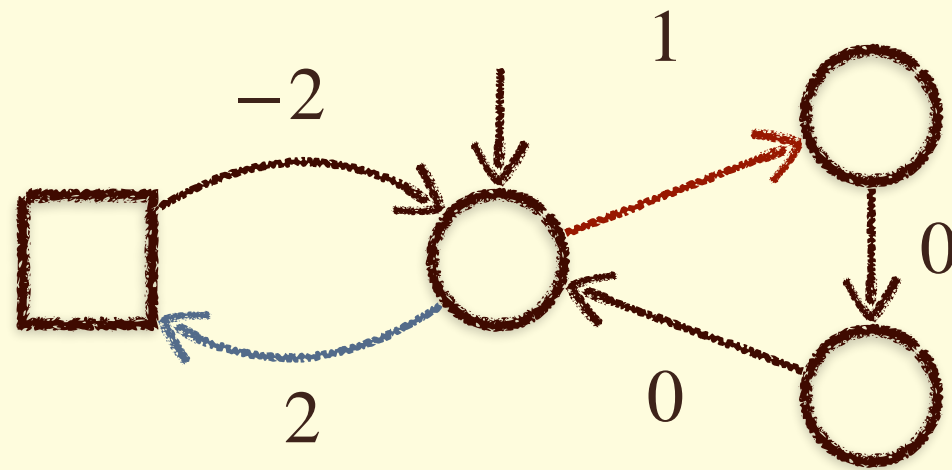
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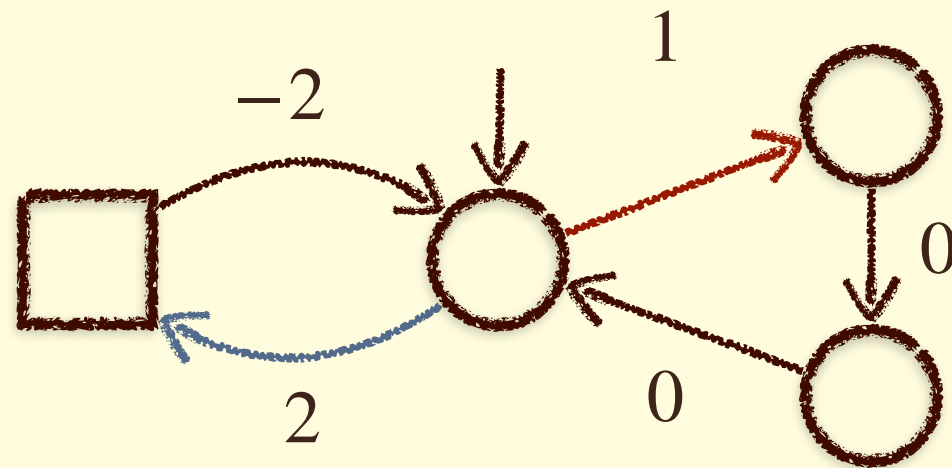
Zero-sum assumption:
~ Preference of P_1 is $\sqsubseteq$
~ Preference of P_2 is $\sqsubseteq^{-1}$

Payoffs based on energy

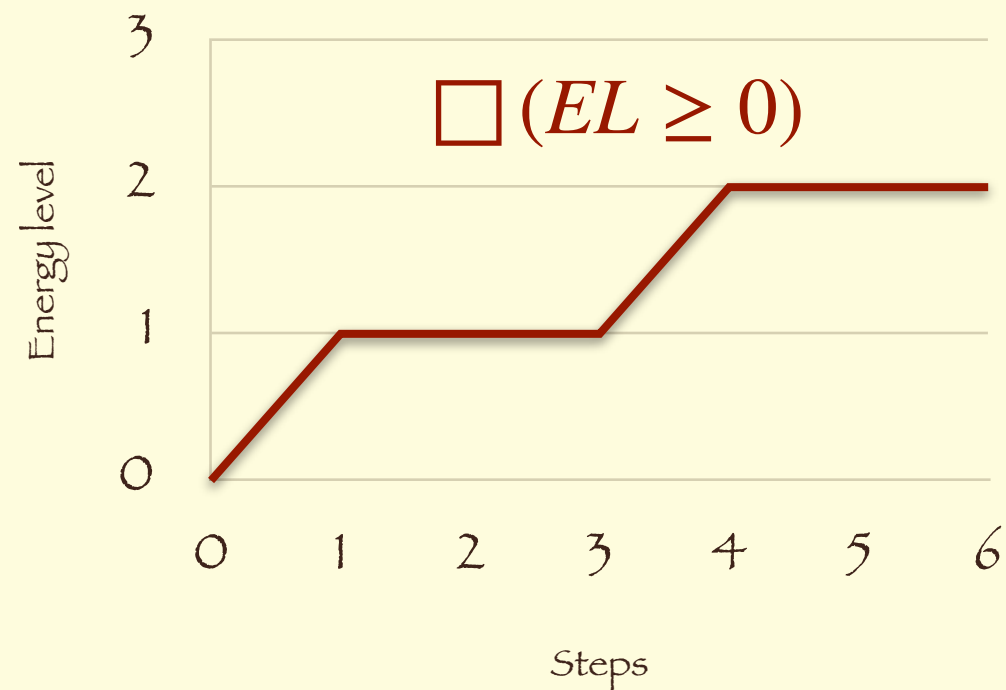
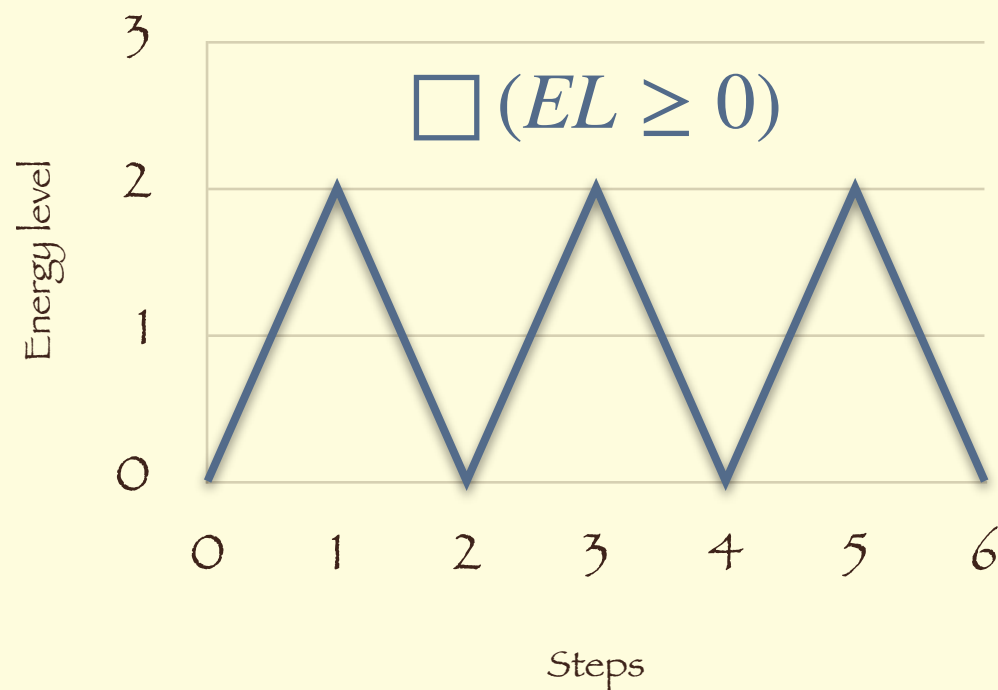


Focus on two memoryless strategies

Payoffs based on energy



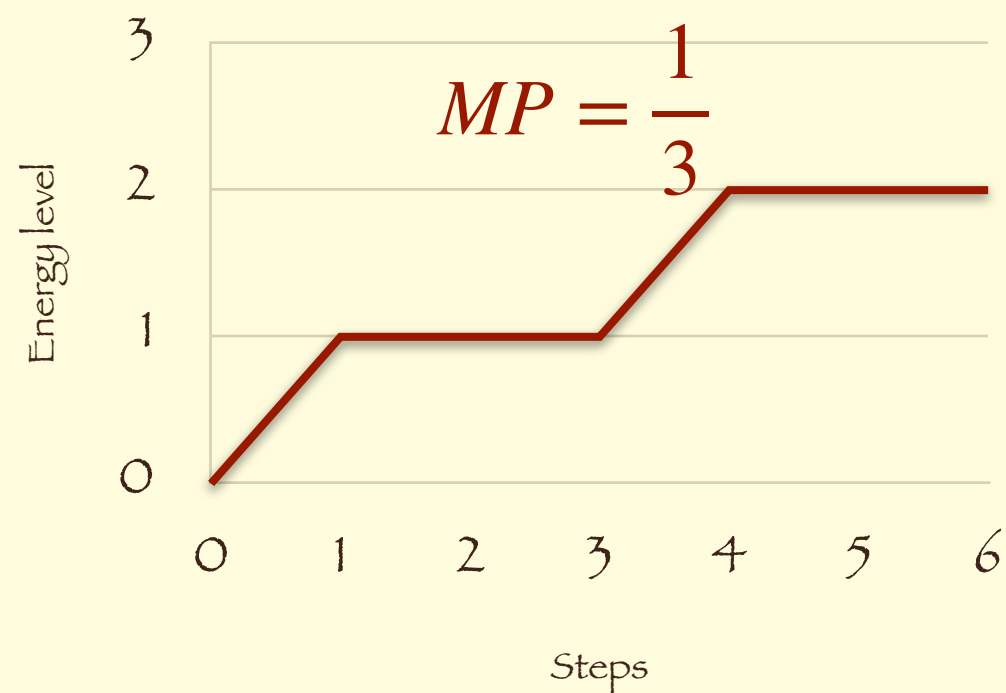
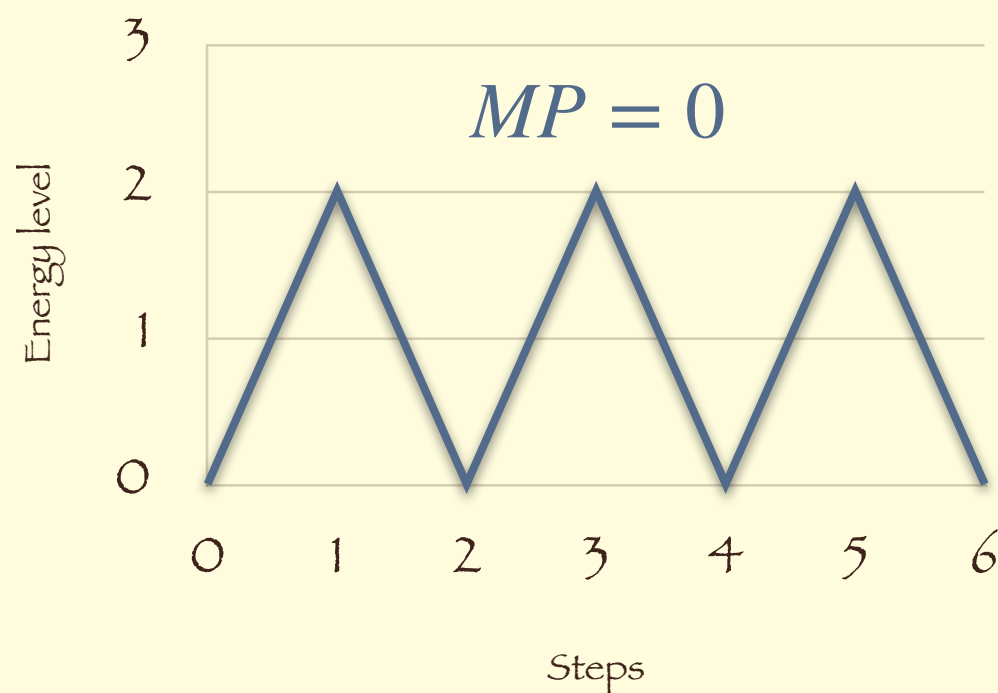
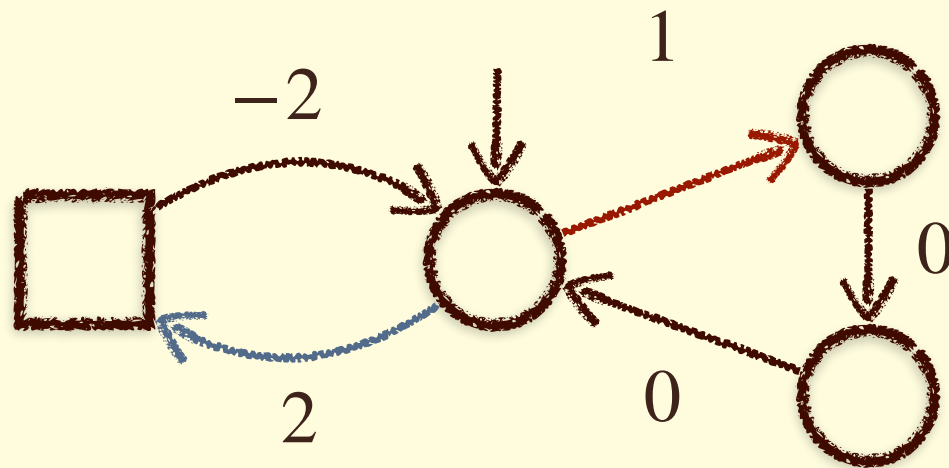
Focus on two memoryless strategies



- Constraint on the energy level (EL)

Payoffs based on energy

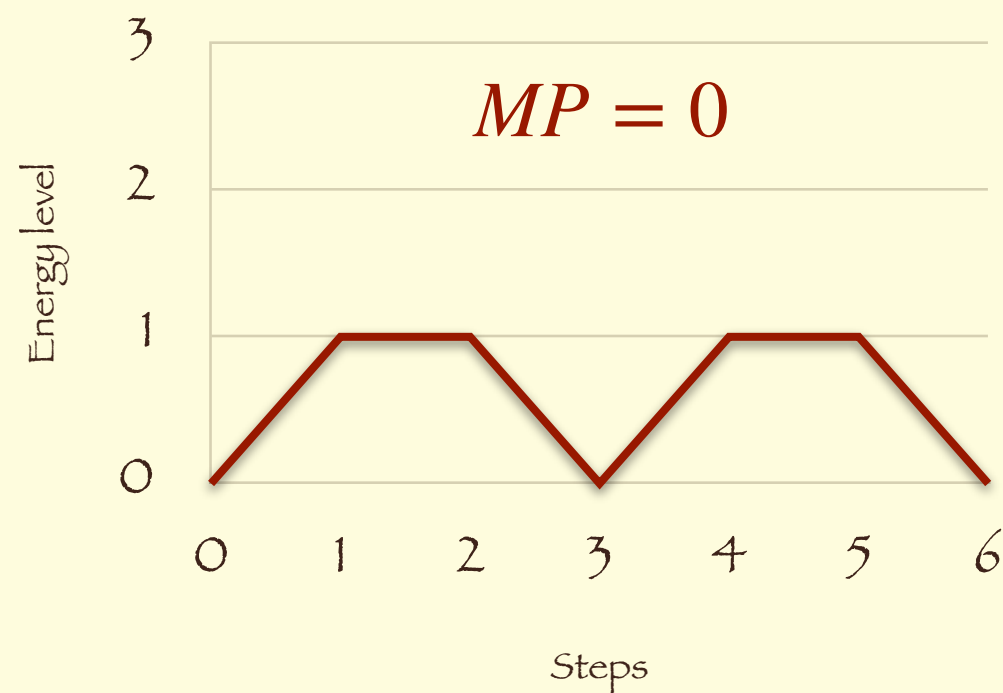
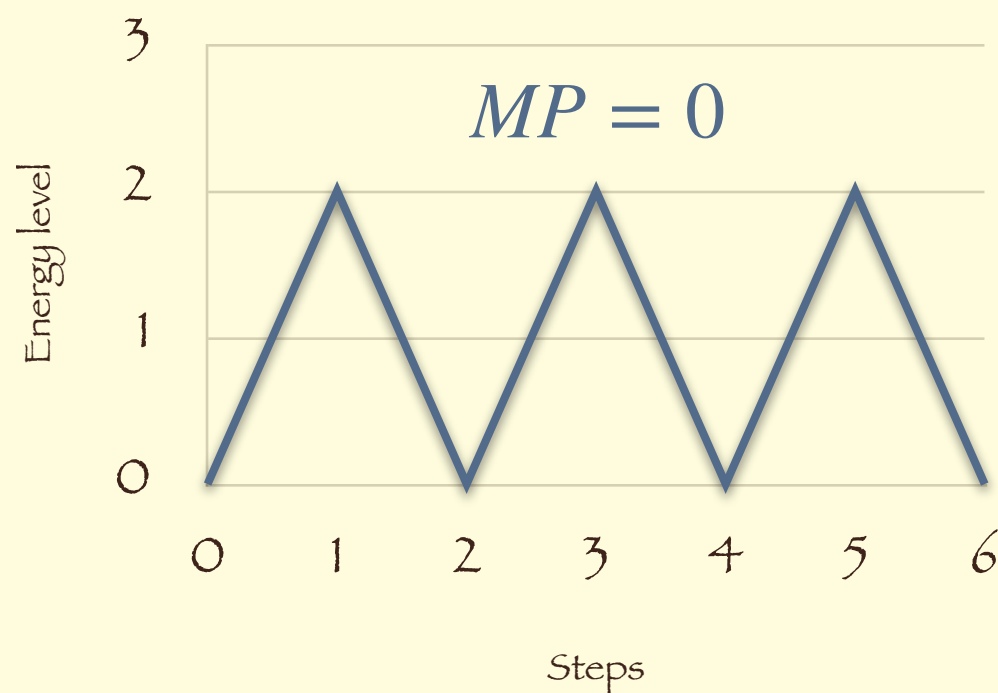
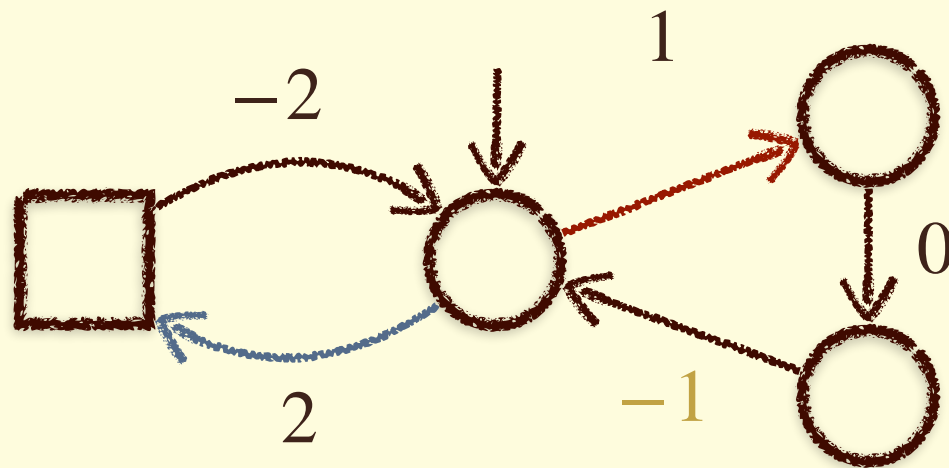
Focus on two memoryless strategies



- Constraint on the energy level (EL)
- Mean-payoff (MP): long-run average payoff per transition

Payoffs based on energy

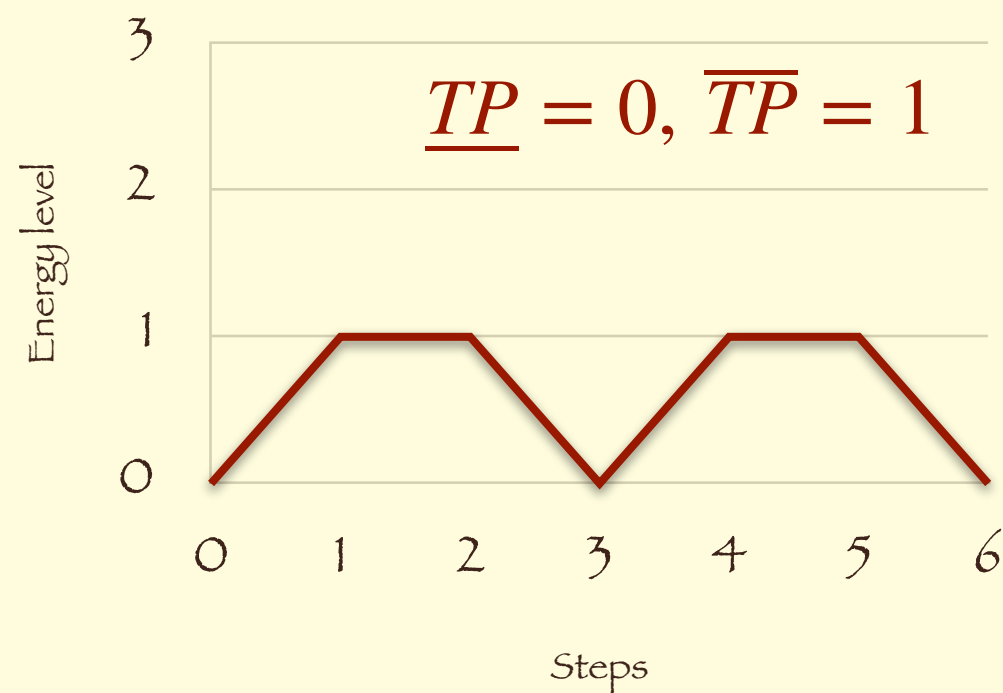
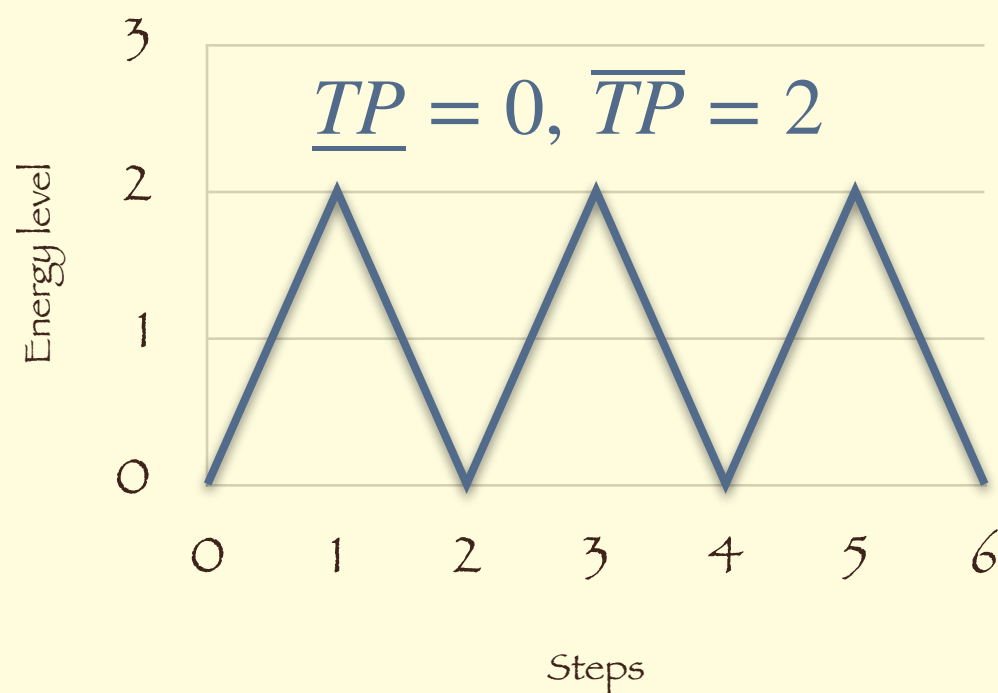
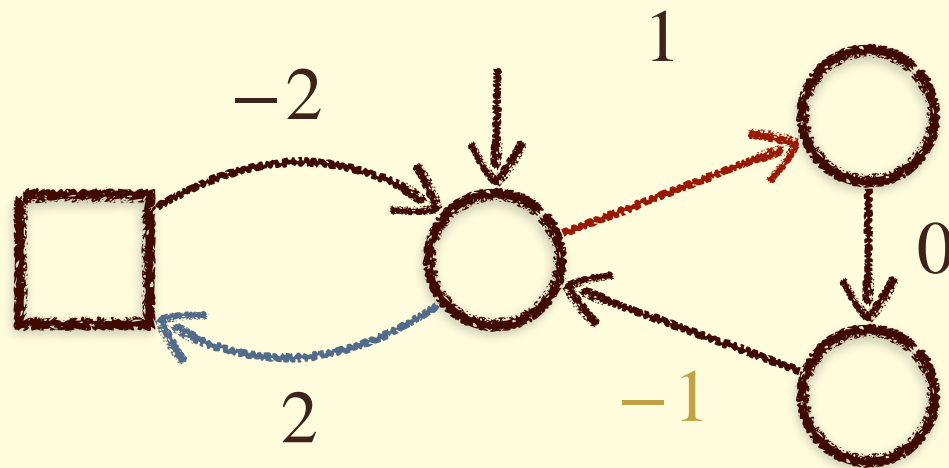
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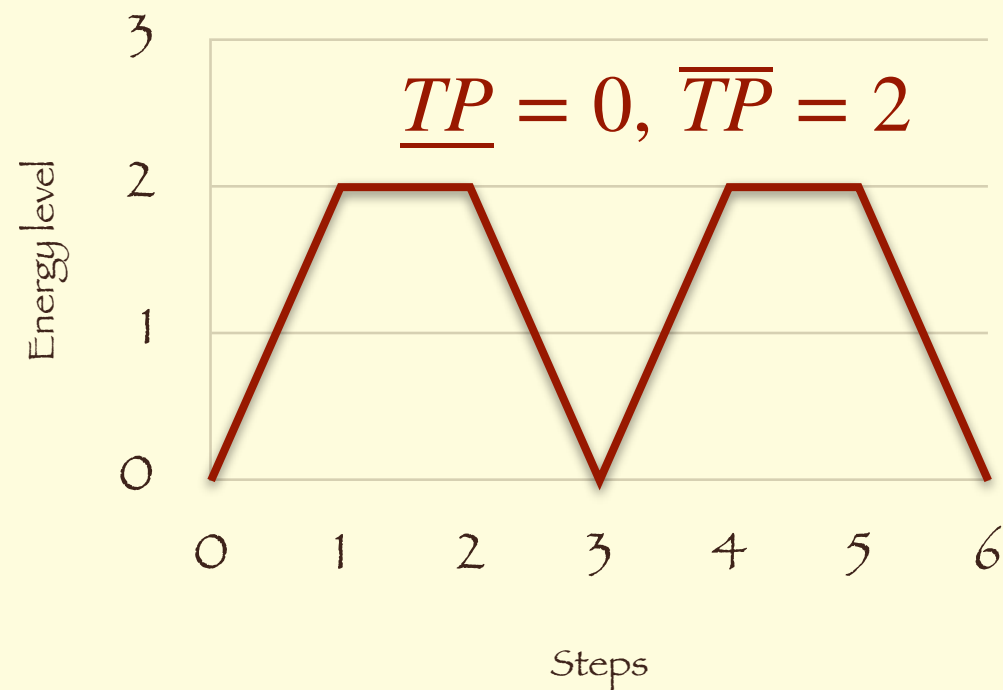
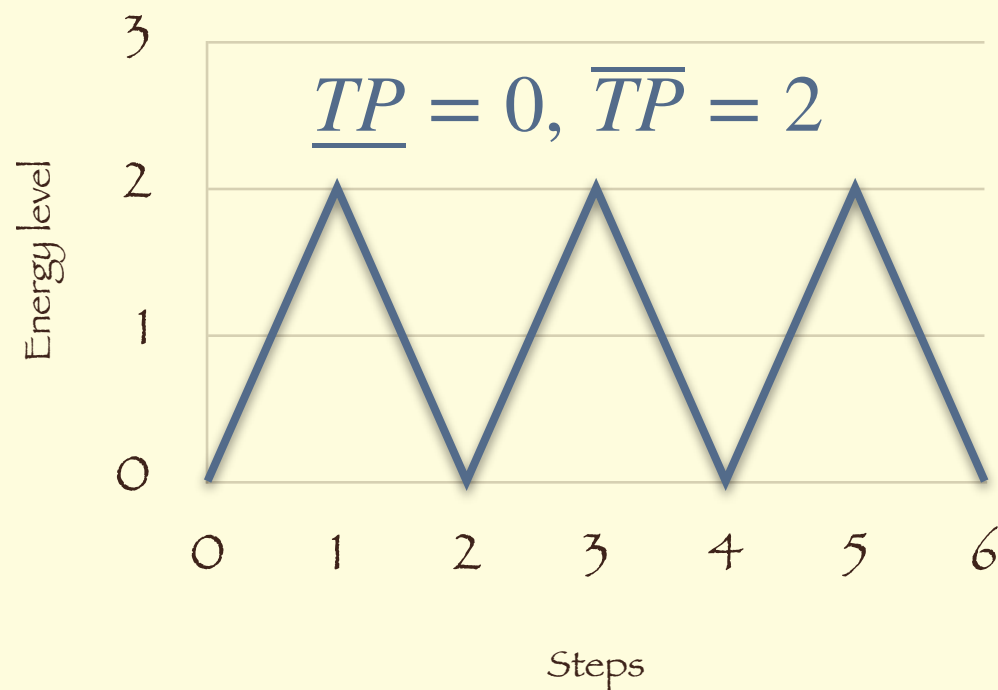
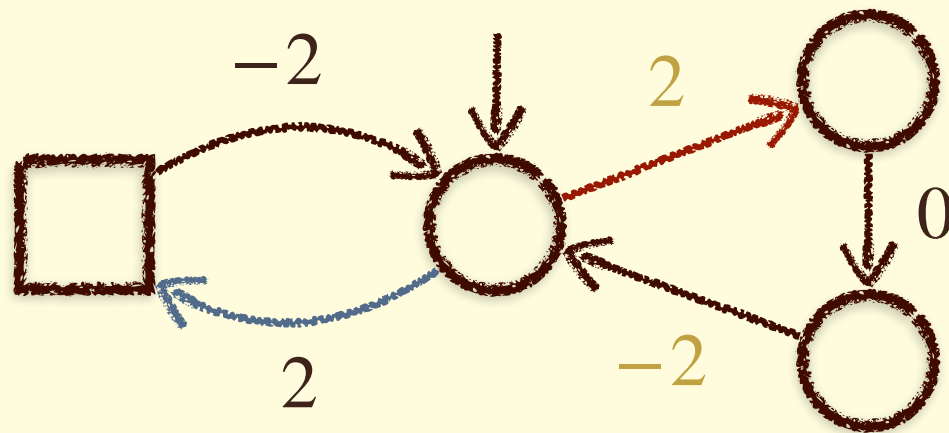
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- Constraint on the energy level (EL)
- Mean-payoff (MP): long-run average payoff per transition
- Total-payoff (TP)

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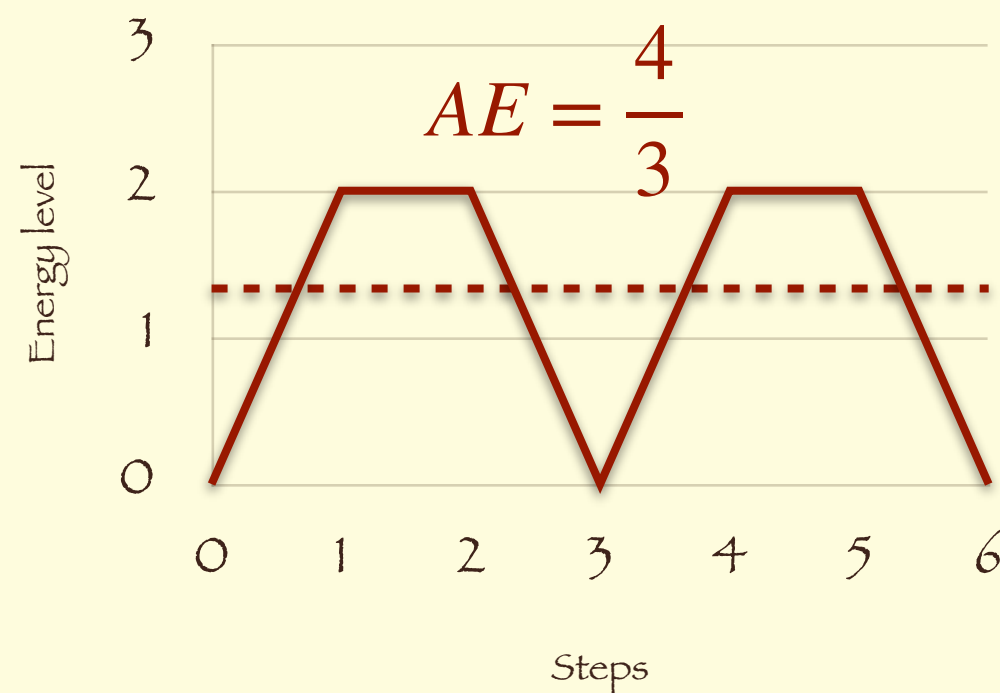
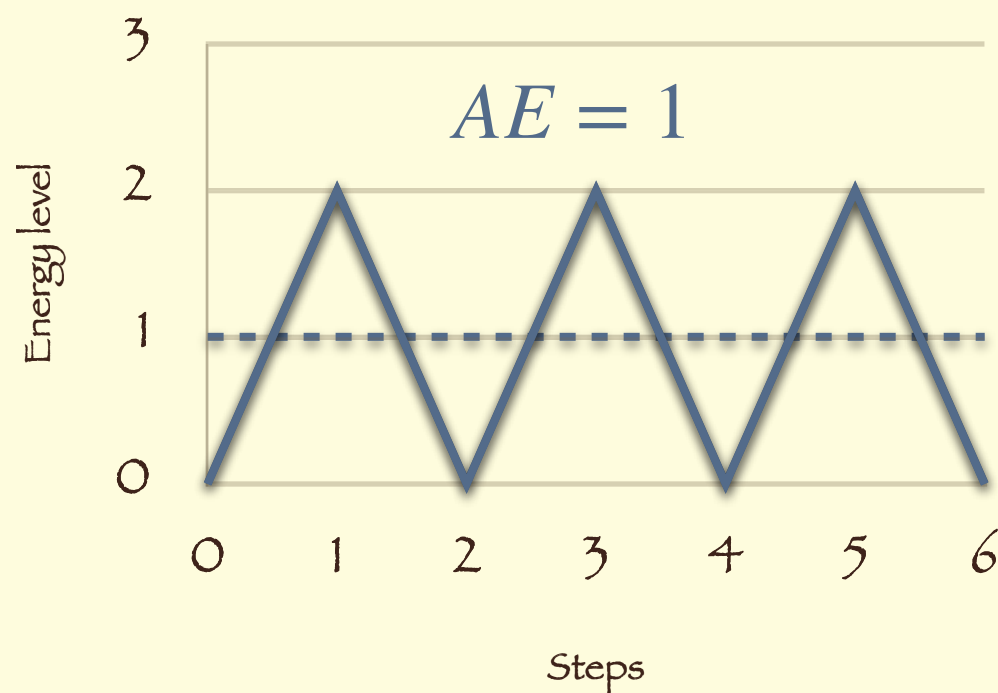
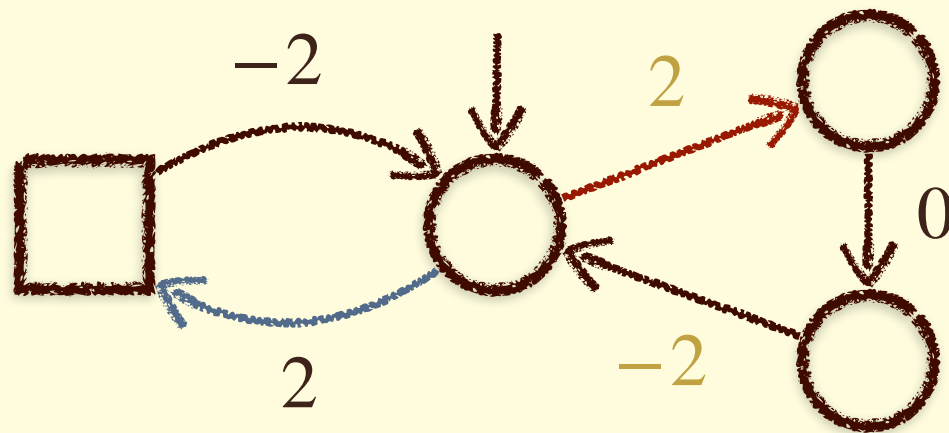
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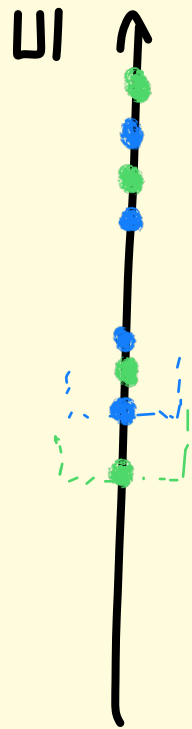
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- Average-energy (AE)

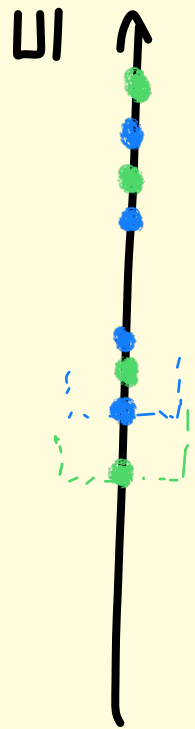
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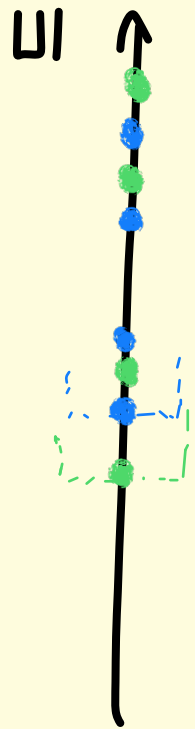
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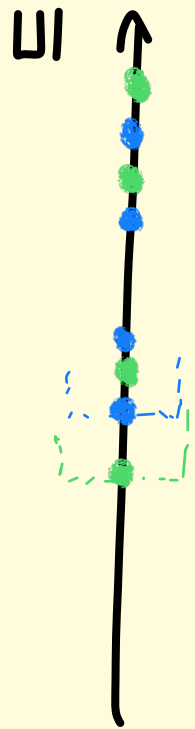


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σ_n optimal whenever it is
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Remark

- To be distinguished from:
 - ϵ -optimal
 - Subgame-perfect optimal (in our case: Nash equilibria)

A focus on memoryless
strategies

When are memoryless strategies
sufficient to play optimally?

Quite often!

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Examples

- Reachability, safety, Büchi, parity, MP, $EL \geq 0$, TP, AE, etc...

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Can we characterize when they are?

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Can we characterize when they are?

YES !

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- Reachability, safety, Büchi, parity, MP, $EL \geq 0$, TP, AE, etc...

Can we characterize when they are?

YES!

And this is a beautiful result by Gimbert and Zielonka, CONCUR'05

The memoryless story

Sufficient conditions

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- Sufficient conditions to guarantee memoryless optimal strategies for both player [GZ04,AR17]

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The memoryless story

Sufficient conditions

- Sufficient conditions to guarantee memoryless optimal strategies for both player [GZ04,AR17]
 - Sufficient conditions to guarantee memoryless optimal strategies for one player (« half-positional ») [Kop06,Gim07,GK14]
-
- Characterization of the preference relations admitting optimal memoryless strategies for both players in all finite games [GZ05]

The Gimbert-Zielonka characterization for memory less determinacy (1)

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It is said :

- **monotone** whenever

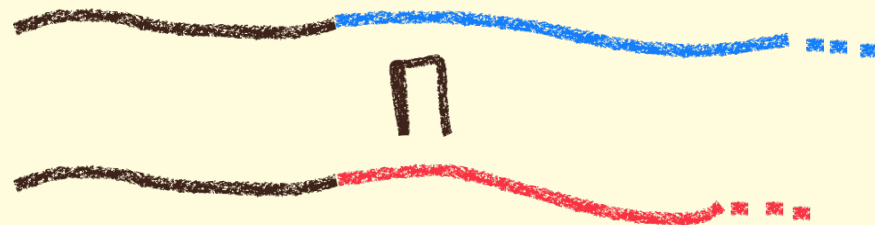
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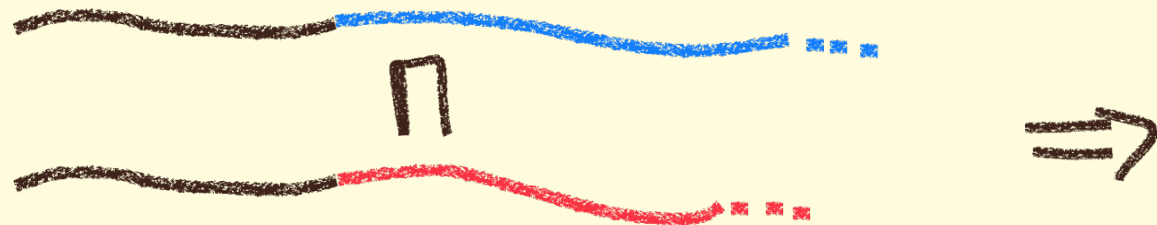
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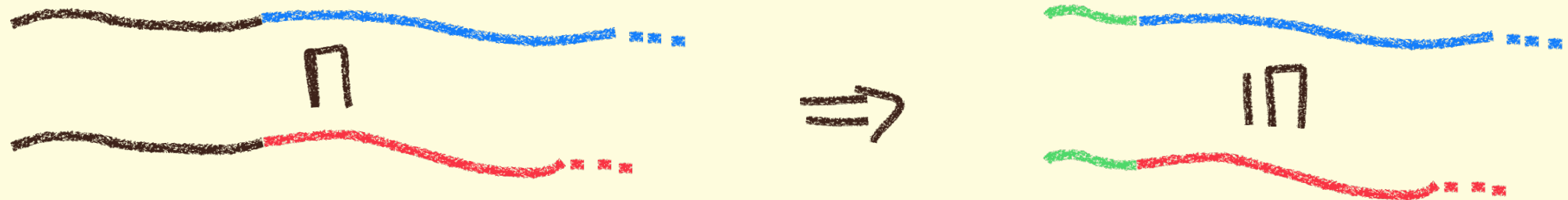
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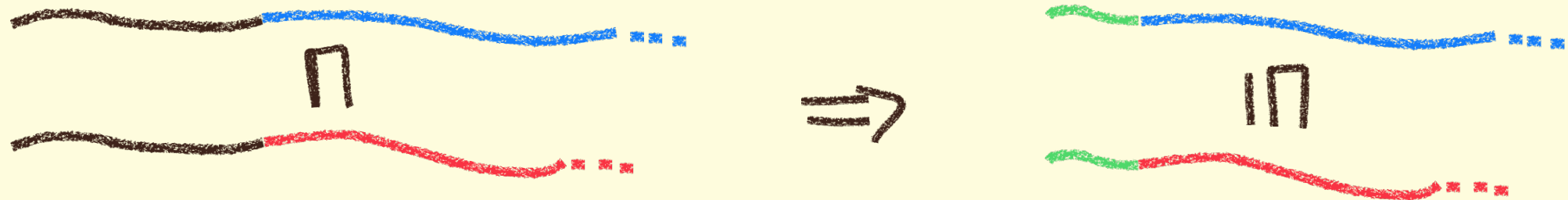
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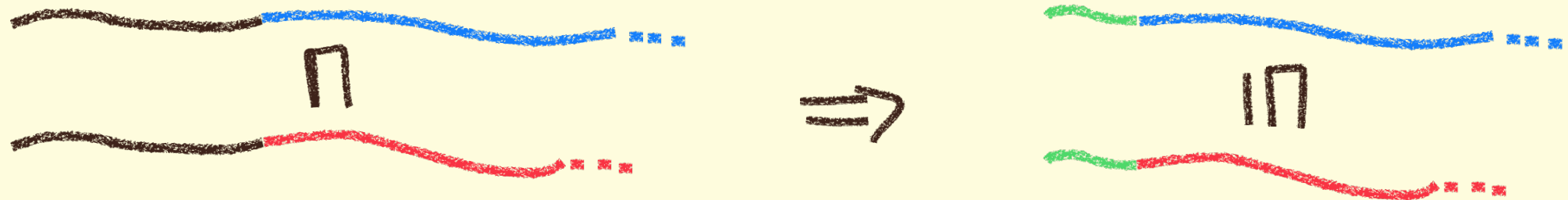
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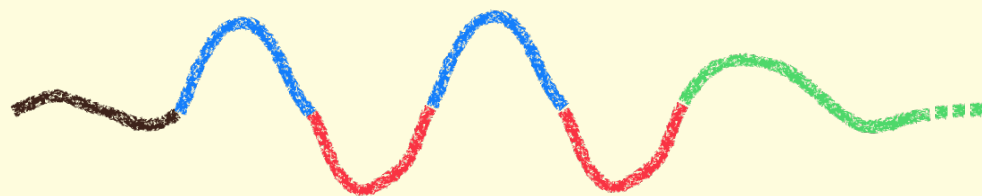
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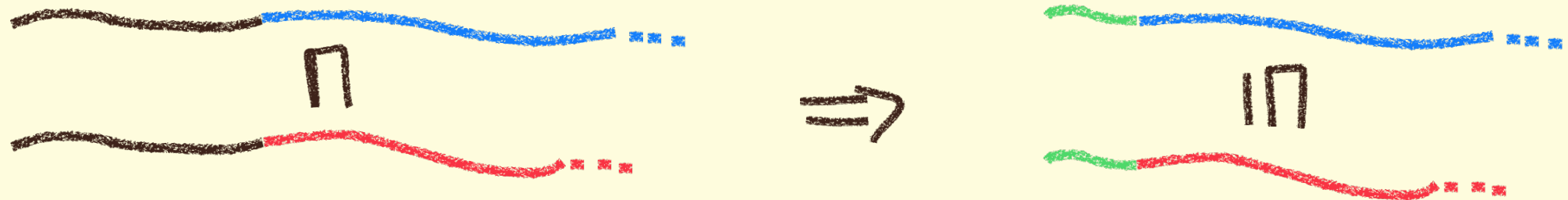
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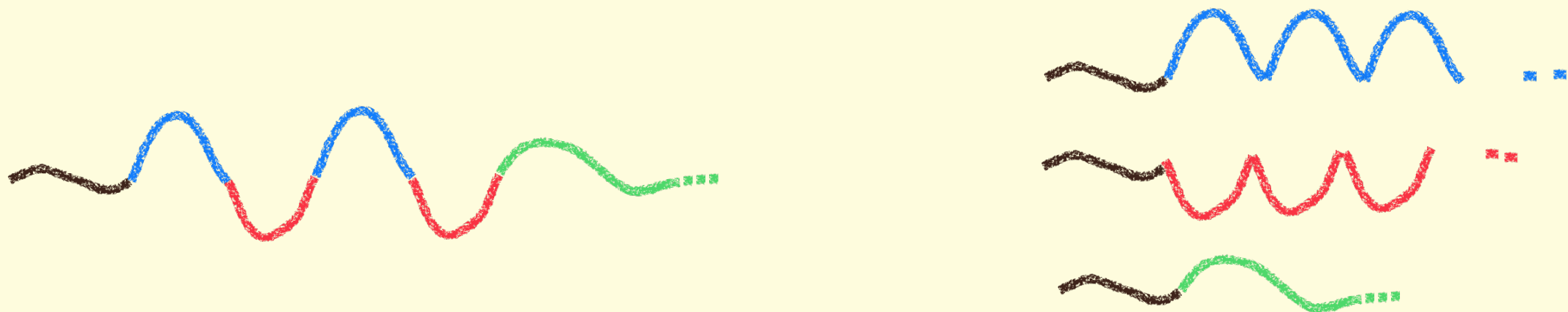
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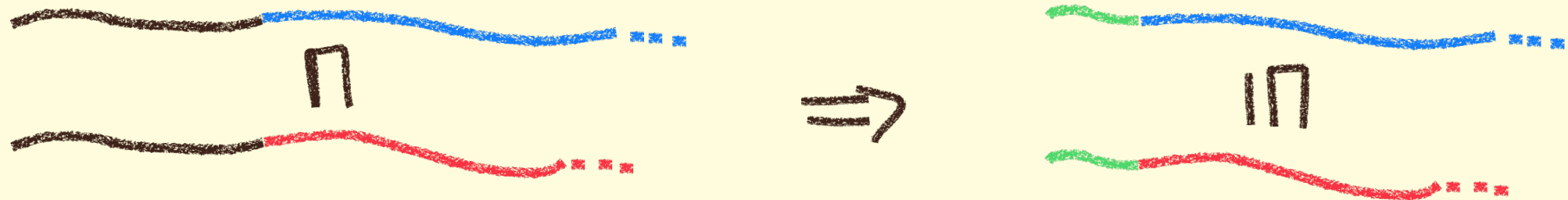
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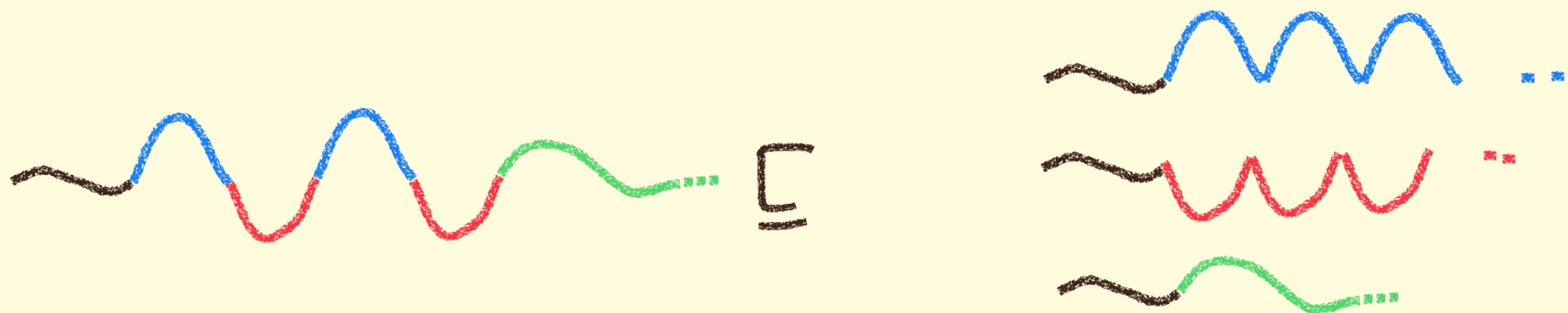
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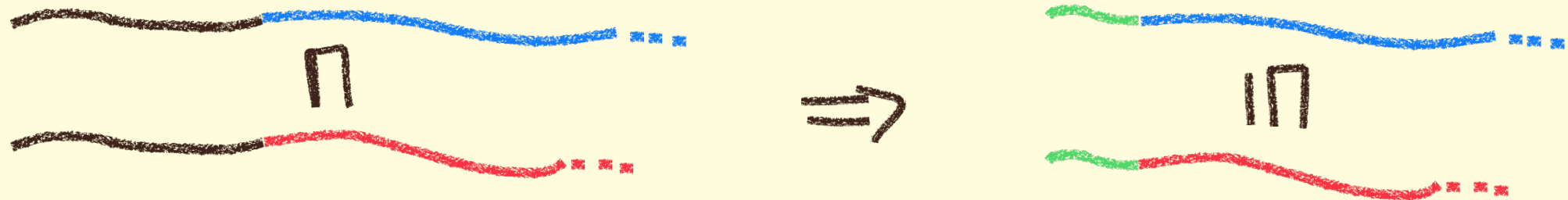
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The Gimbert-Zielonka characterization for memory less determinacy (2)

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Characterization - Two-player games

The two following assertions are equivalent :

1. All finite games have memoryless optimal strategies for both players
2. Both $\sqsubseteq$ and $\sqsubseteq^{-1}$ are monotone and selective

The Gimbert-Zielonka characterization for memory less determinacy (2)

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Characterization - Two-player games

The two following assertions are equivalent :

1. All finite games have memoryless optimal strategies for both players
2. Both $\sqsubseteq$ and $\sqsubseteq^{-1}$ are monotone and selective

Characterization - One-player games

The two following assertions are equivalent :

1. All finite P_1 -games have (uniform) memoryless optimal strategies
2. $\sqsubseteq$ is monotone and selective

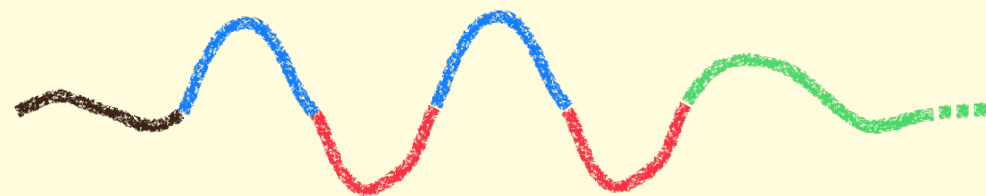
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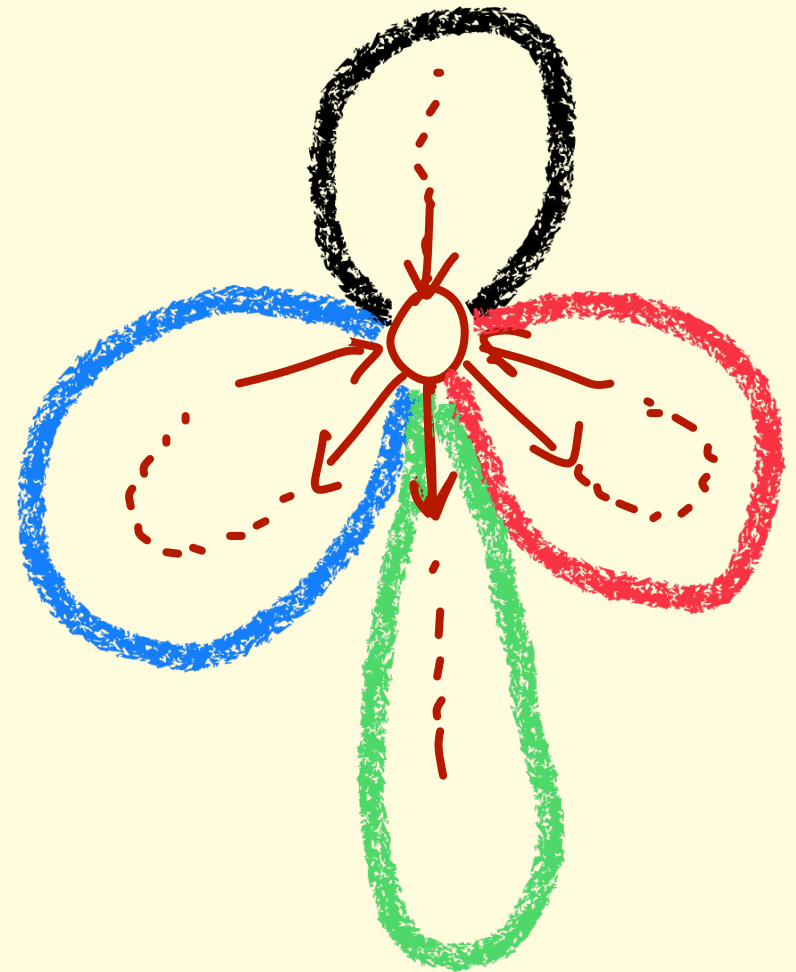
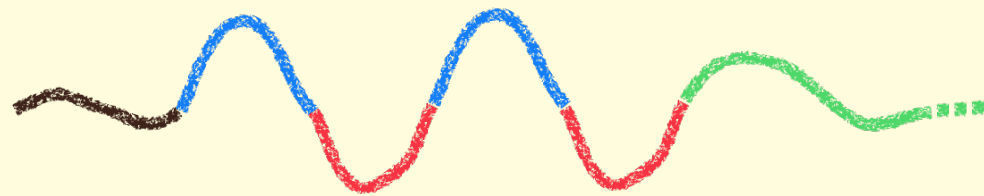
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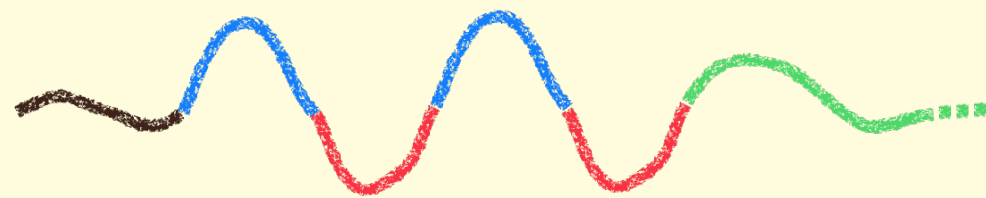
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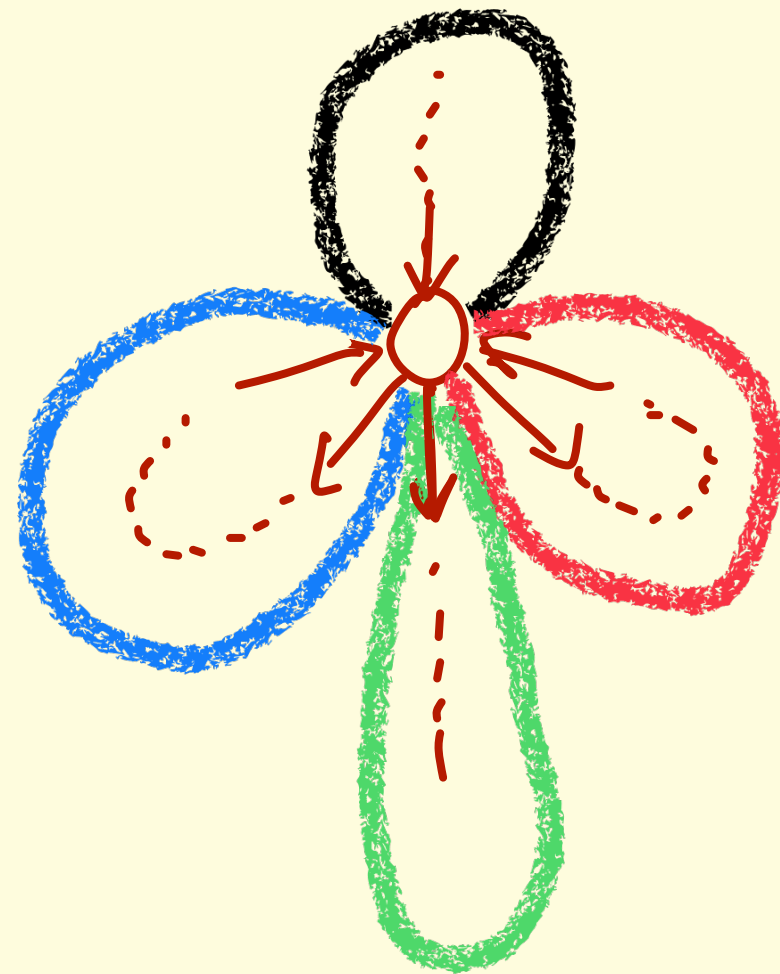
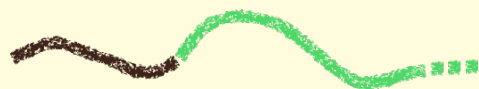
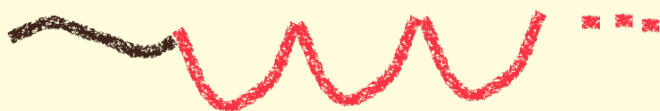
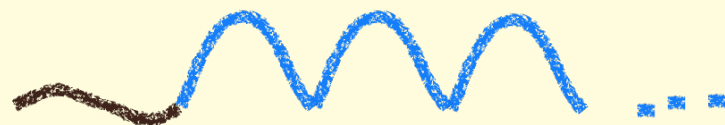
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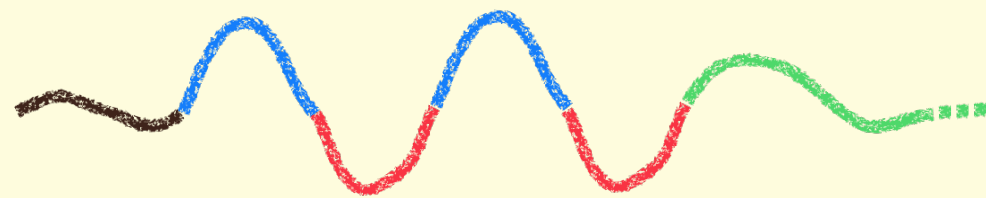
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Max



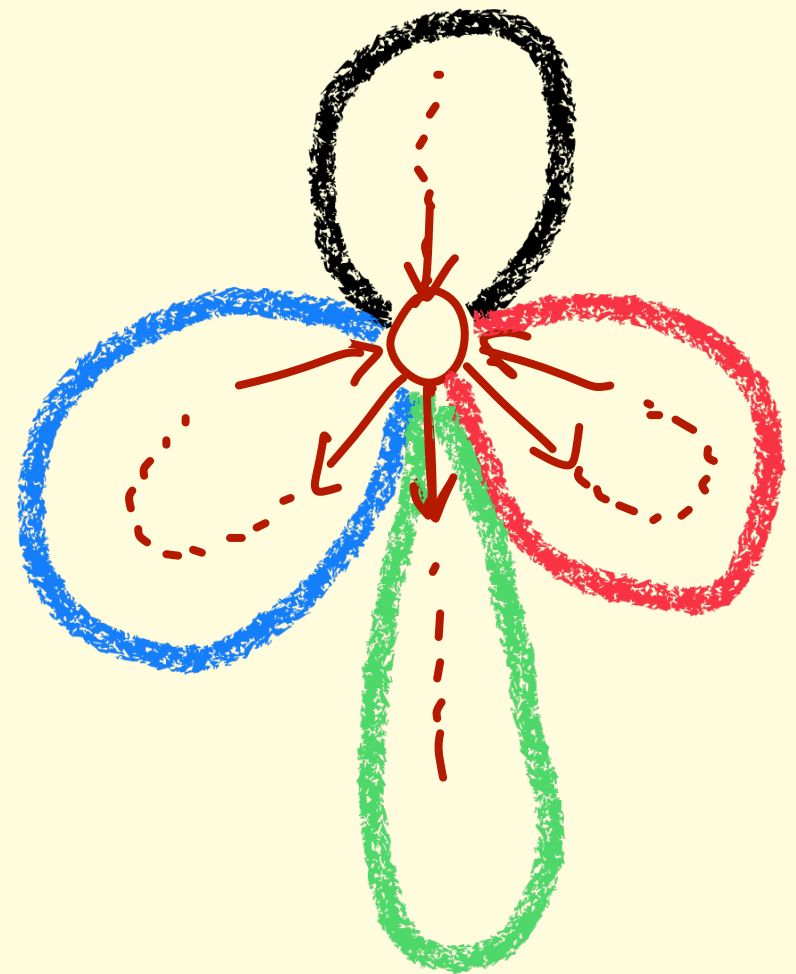
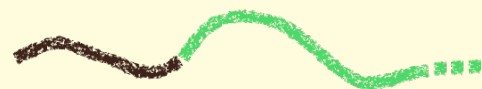
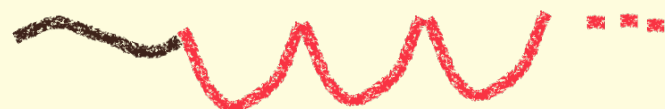
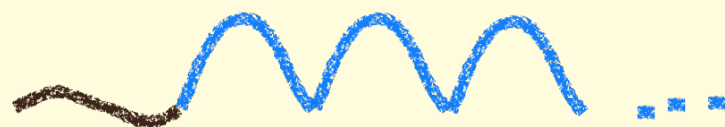
Why? Proof hint (1)

Assume all P_1 -games have optimal memoryless strategies.



$\prod$

Max

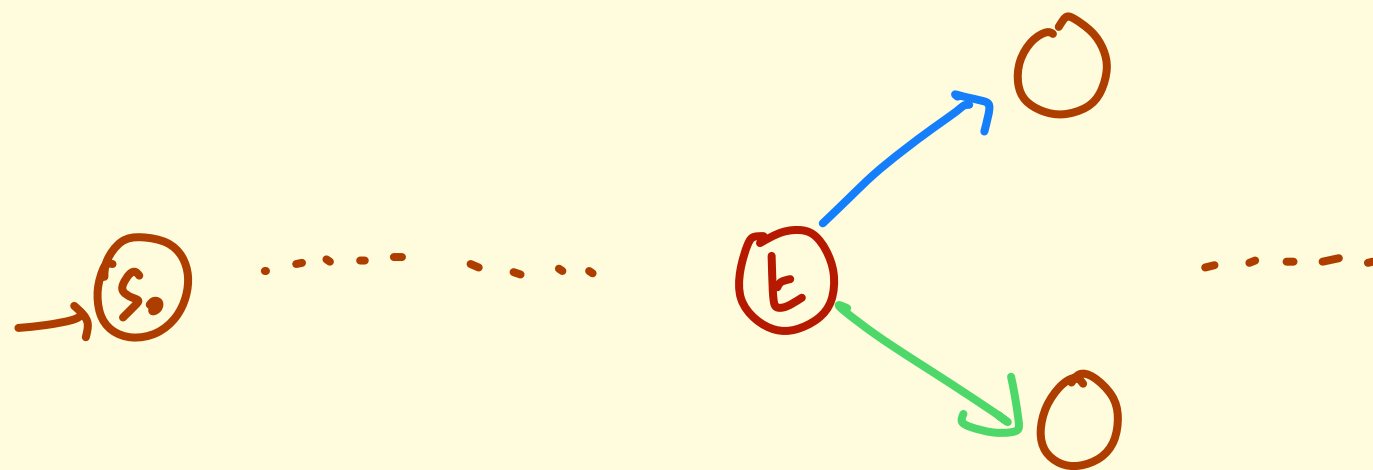


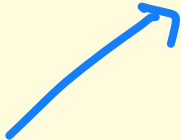
$\sqsubseteq$ is selective

Why? Proof hint (2)

Assume $\sqsubseteq$ is monotone and selective.

The case of one-player games



one best choice between  and  (monotony)
+ no reason to swap at t (selectivity)

No memory required at t !

Applications

Lifting theorem

- If in all finite one-player game for player P_i , P_i has uniform memoryless optimal strategies, then both players have memoryless optimal strategies in all finite two-player games.

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Very powerful and extremely useful in practice!

Discussion

- Easy to analyse the one-player case (graph analysis)
 - Mean-payoff, average-energy [BMRL15]
- Allows to deduce properties in the two-player case

Discussion of examples

Examples

Discussion of examples

Examples

- Reachability, safety:

Discussion of examples

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 - Monotone (though not prefix-independent)

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Discussion

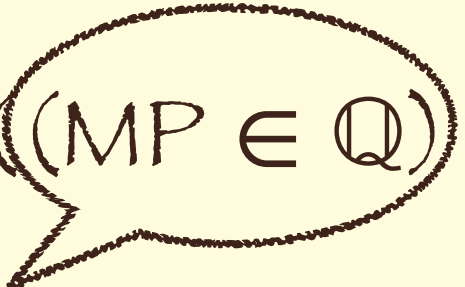
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Winning condition for P_1 :

$$((MP \in \mathbb{Q}) \wedge \text{Büchi}(A)) \vee \text{coBüchi}(B)$$

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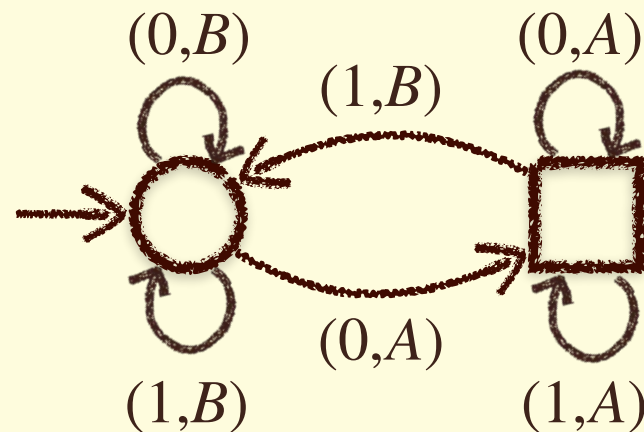
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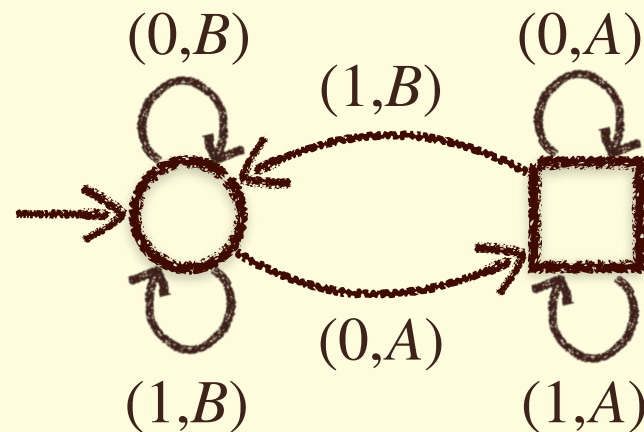


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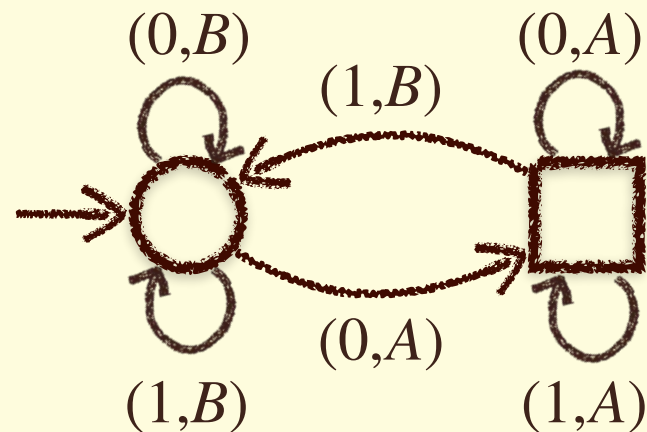
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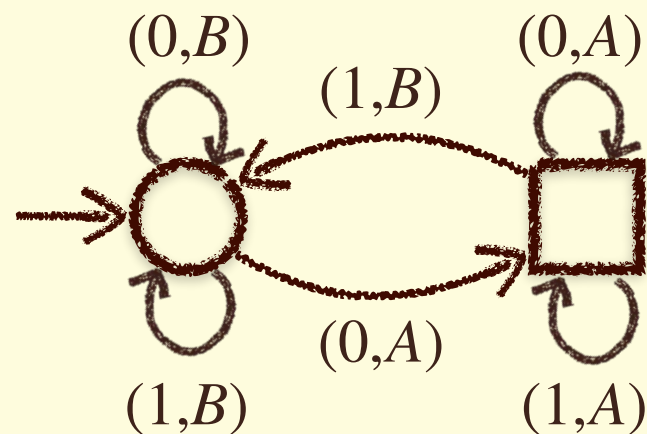
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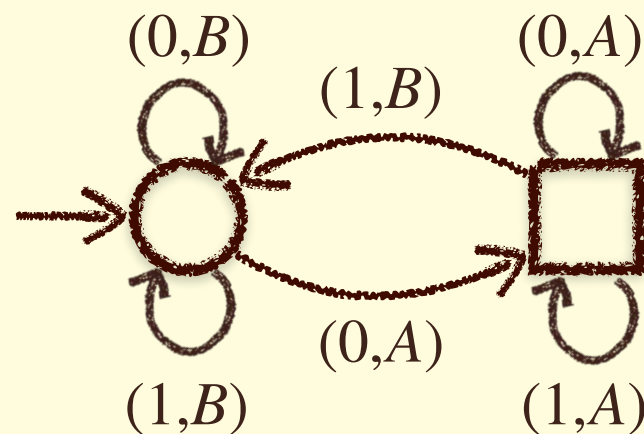
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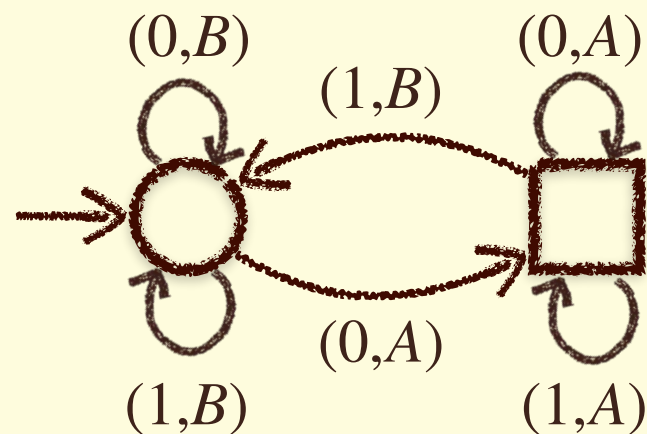
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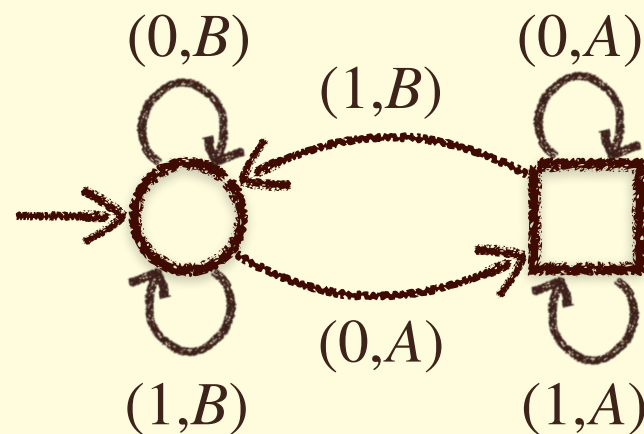
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- It requires infinite memory!

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If only $\sqsubseteq$ is monotone and selective, P_1 might not have a memoryless optimal strategy

Finite-memory strategies

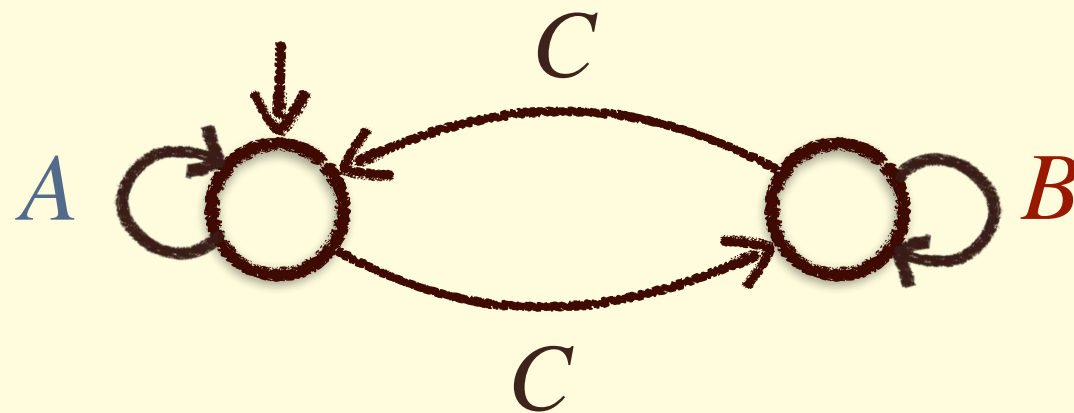
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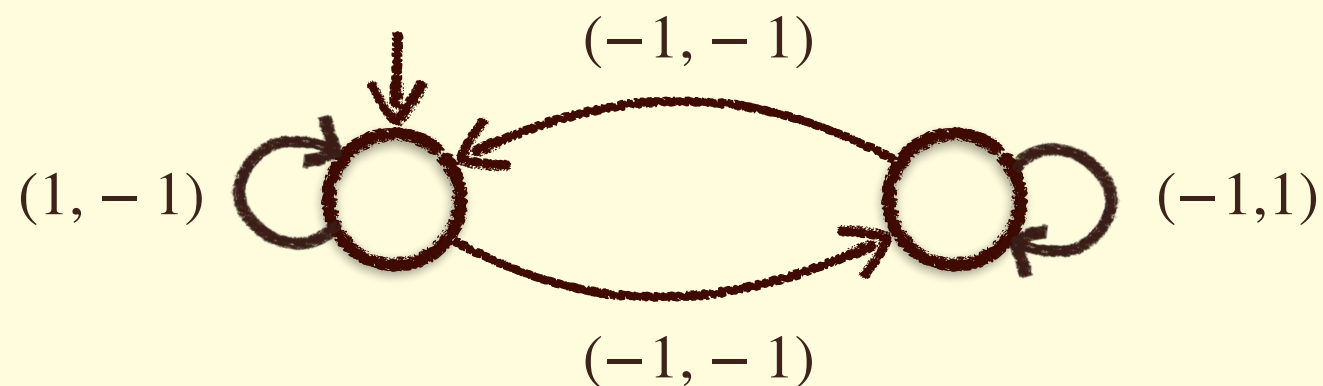
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We need memory!

Objectives/preference relations become more and more complex

- Büchi(*A*) $\wedge$ Büchi(*B*) requires finite memory
- $MP_1 \geq 0 \wedge MP_2 \geq 0$ requires infinite memory



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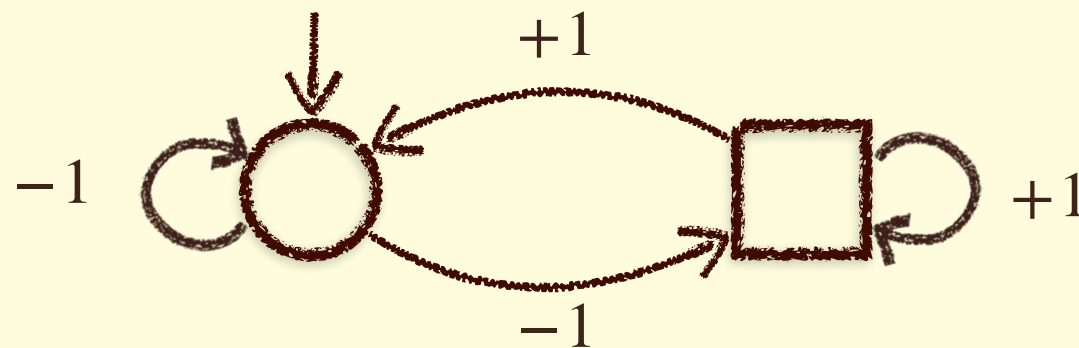
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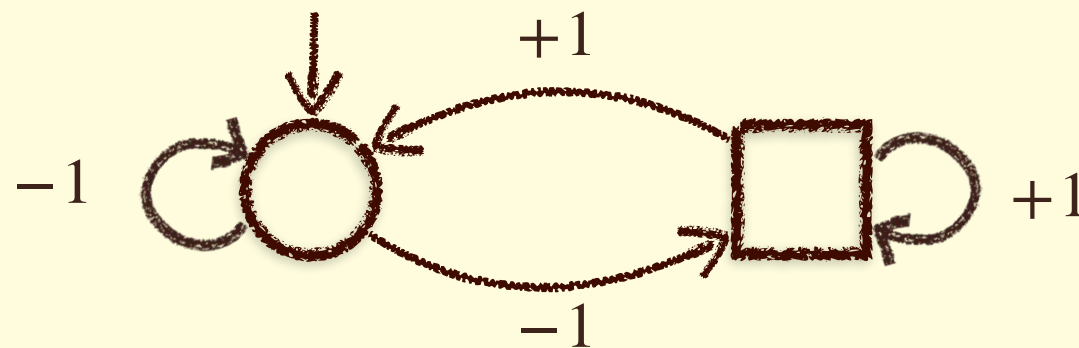
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P_1 wins but uses infinite memory!

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- A strategy σ_i of player P_i has finite memory if it can be encoded as a Mealy machine $(M, m_{\text{init}}, \alpha_{\text{upd}}, \alpha_{\text{next}})$ where M is finite, $m_{\text{init}} \in M$, $\alpha_{\text{upd}} : M \times S \rightarrow M$ and $\alpha_{\text{next}} : M \times S_i \rightarrow E$

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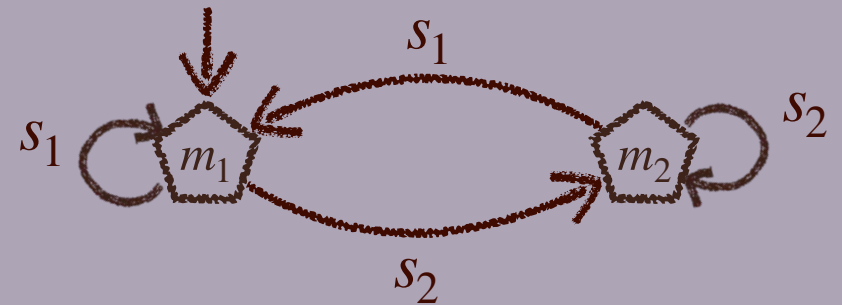
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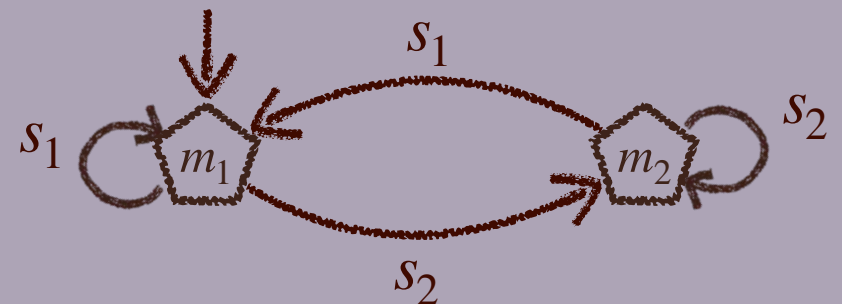
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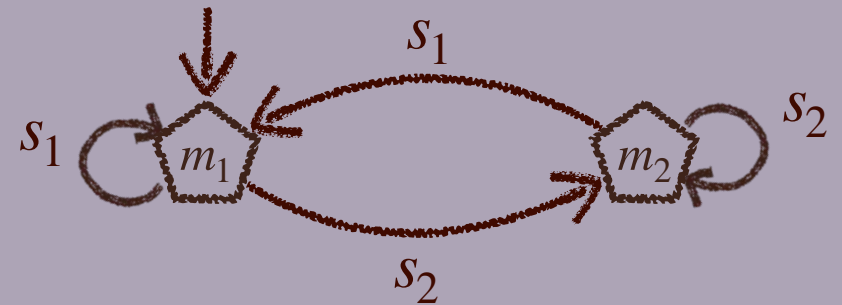


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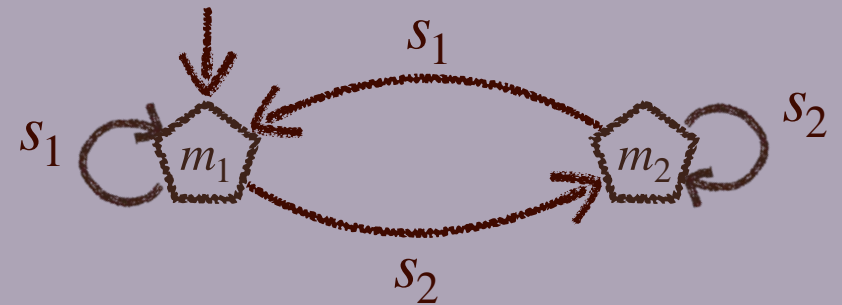
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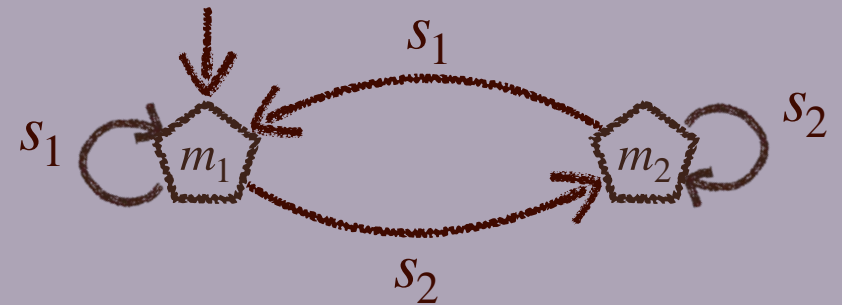
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- The memory mechanism should not speak about information specific to particular games, hence:
 - ~ α_{upd} should not speak of states
 - ~ α_{upd} can speak of colors(notion of « chromatic strategy » by Kopczynski)

Arena-independent memory management

Arena-independent memory management

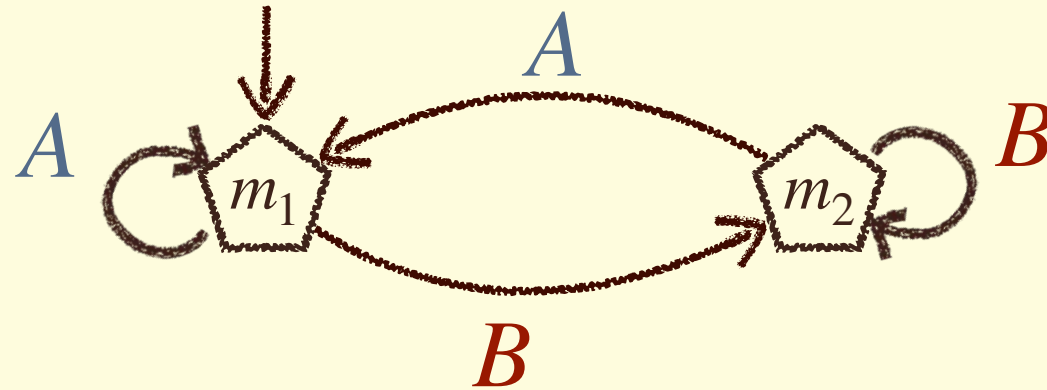
Memory skeleton

- $\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$ with $m_{\text{init}} \in M$ and $\alpha_{\text{upd}} : M \times C \rightarrow M$

Arena-independent memory management

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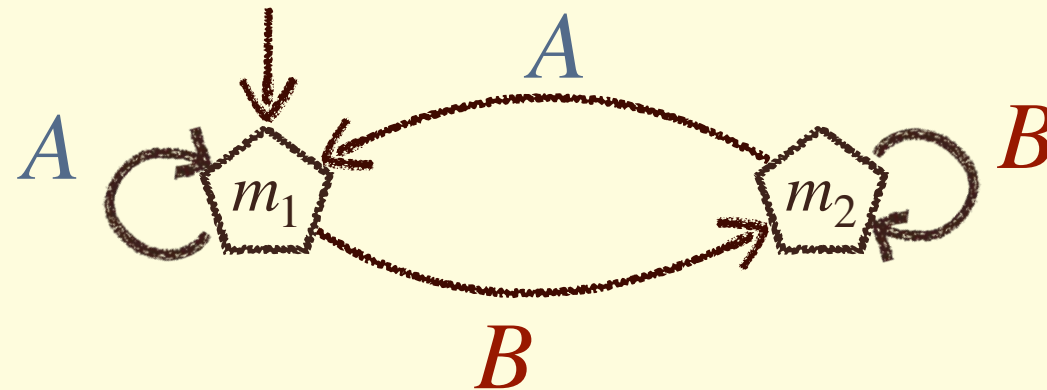
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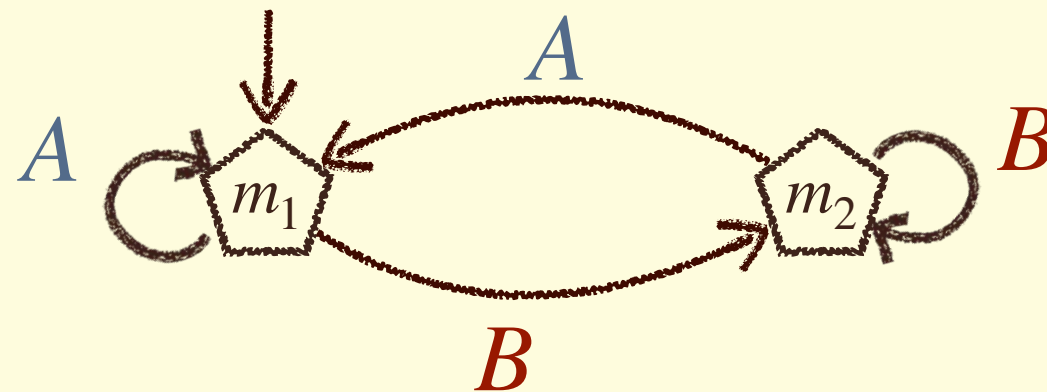


Not yet a strategy!

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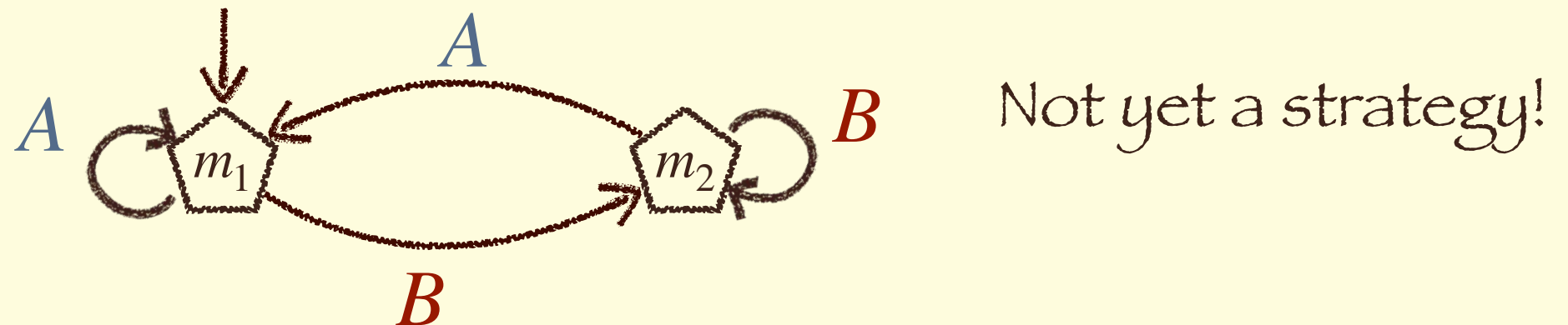
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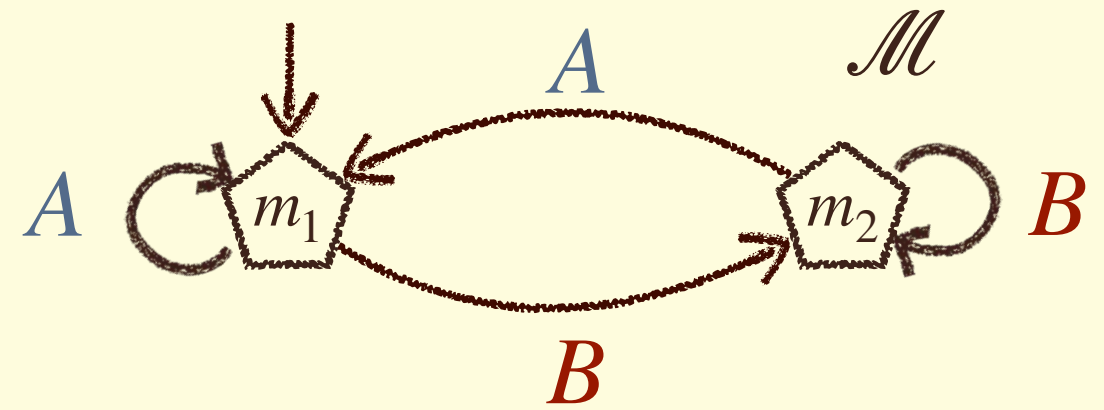
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The above skeleton is sufficient for the winning condition

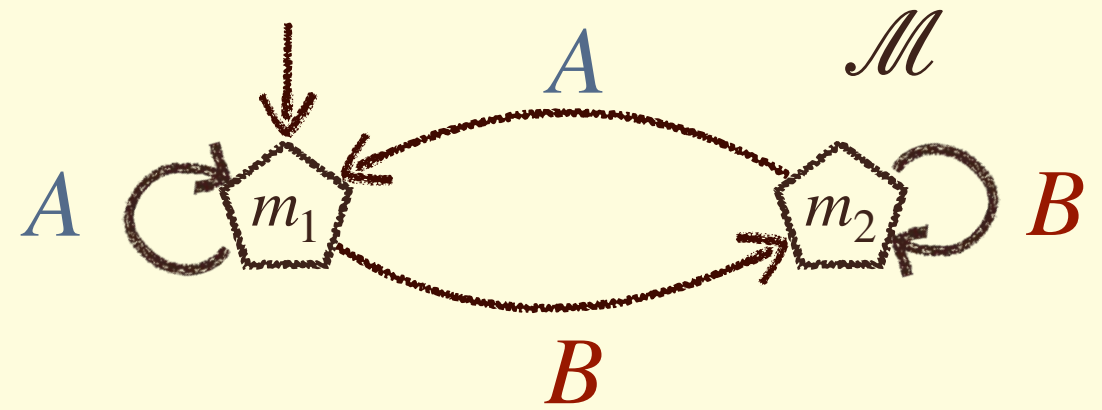
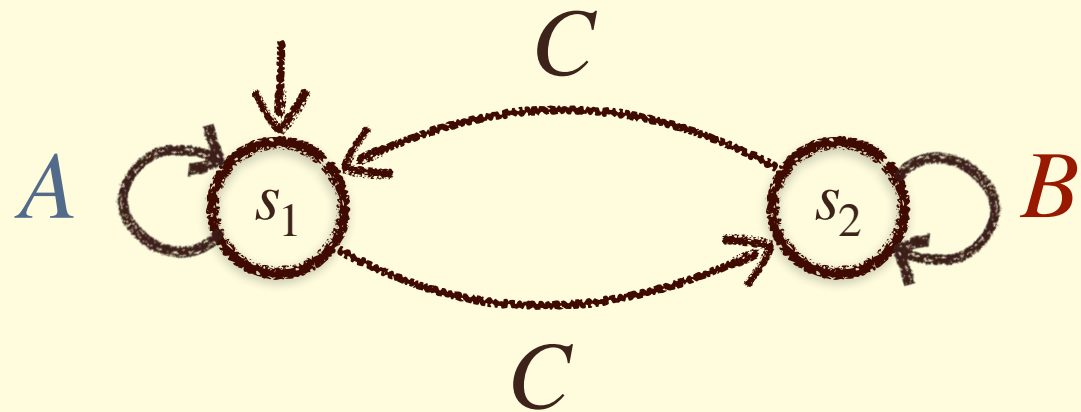
$$\text{Büchi}(A) \wedge \text{Büchi}(B)$$

Example



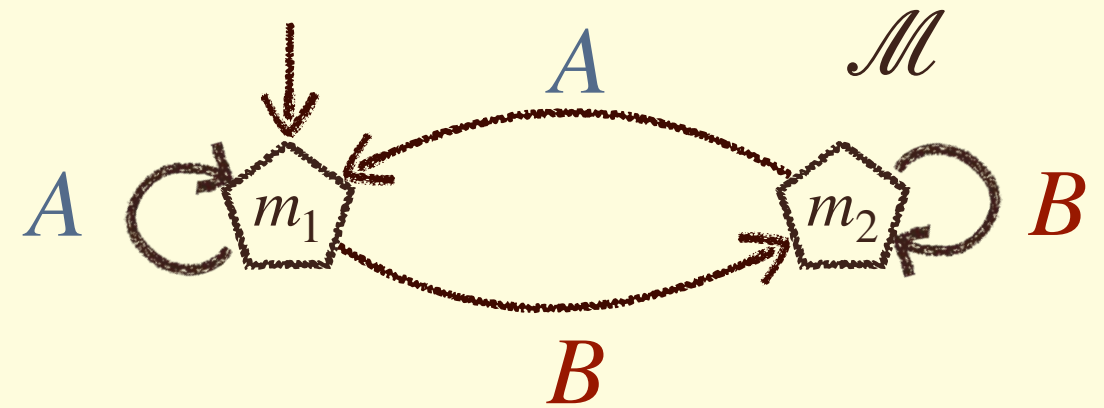
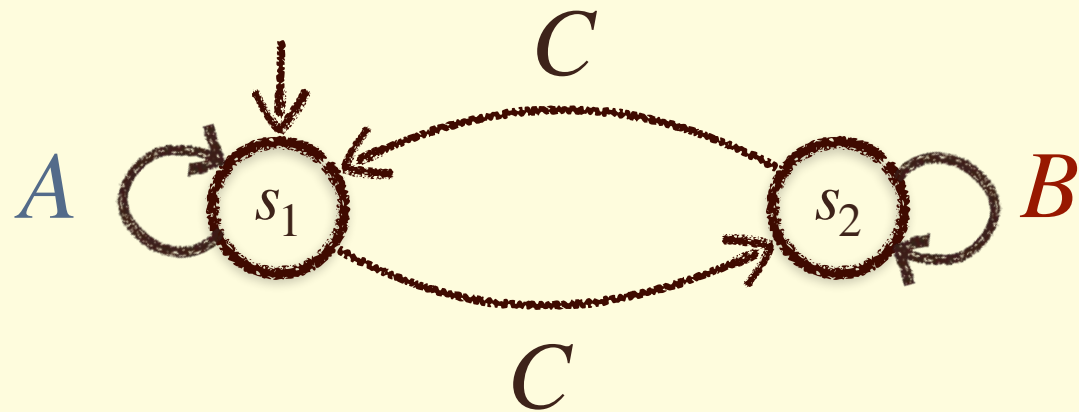
Example

Game arena $\mathcal{A}$:



Example

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$$(s_1, m_1) \mapsto (s_1, s_2)$$

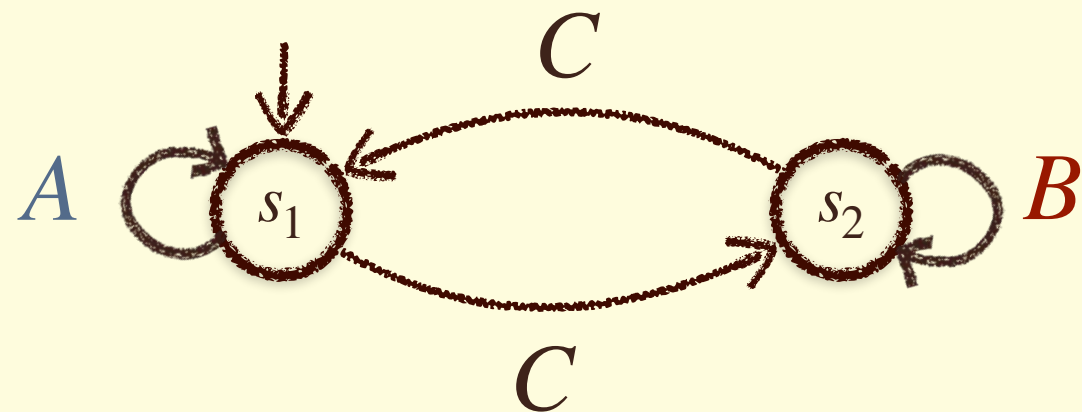
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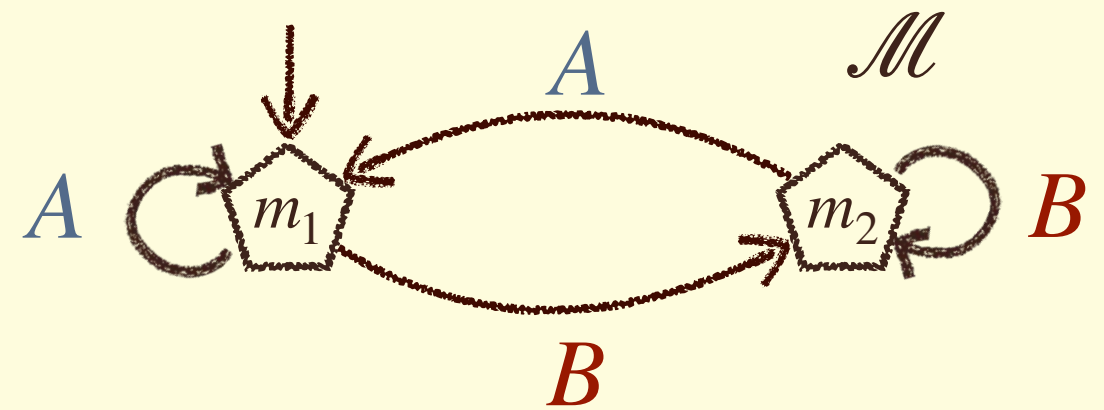
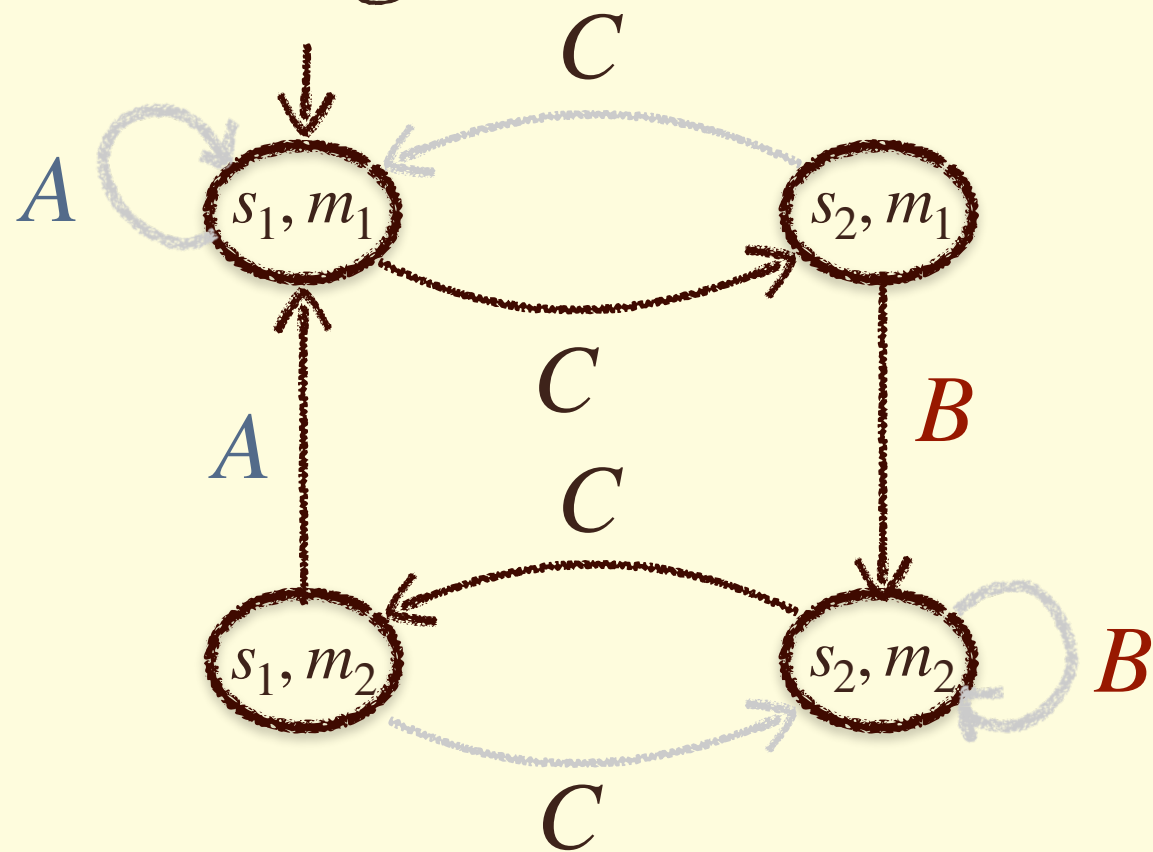
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Example

Game arena $\mathcal{A}$:



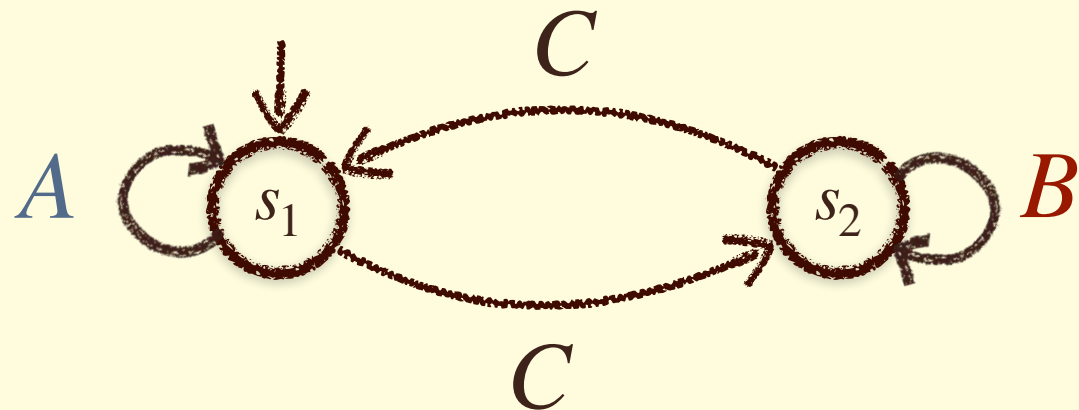
Product game $\mathcal{A} \times \mathcal{M}$:



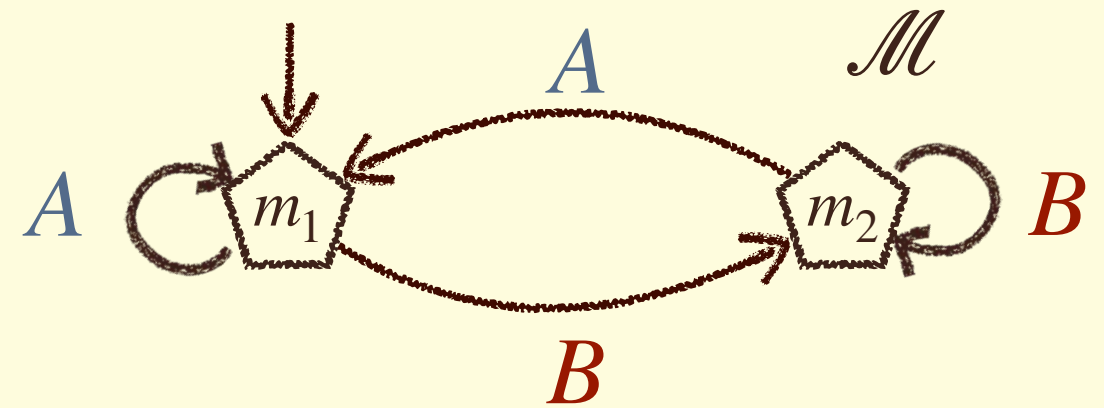
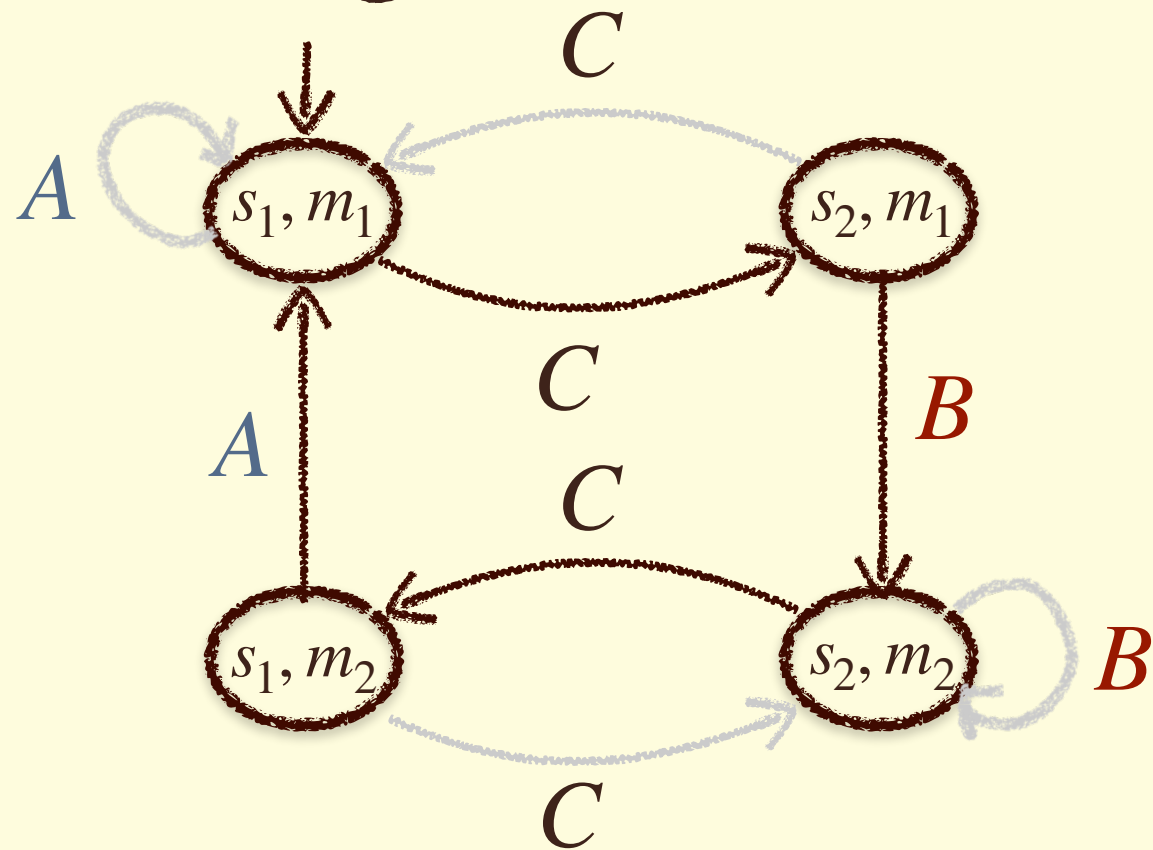
$$\begin{aligned}
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 \end{aligned}$$

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- One can however not apply the [GZ05] result to product games!

Memory-dependent monotony and selectivity

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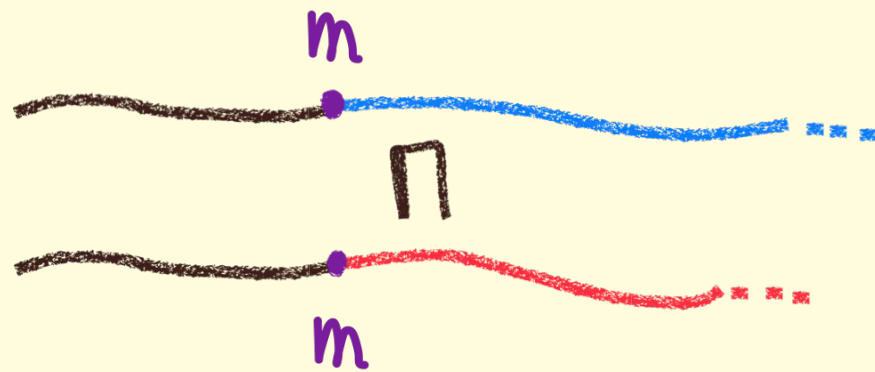
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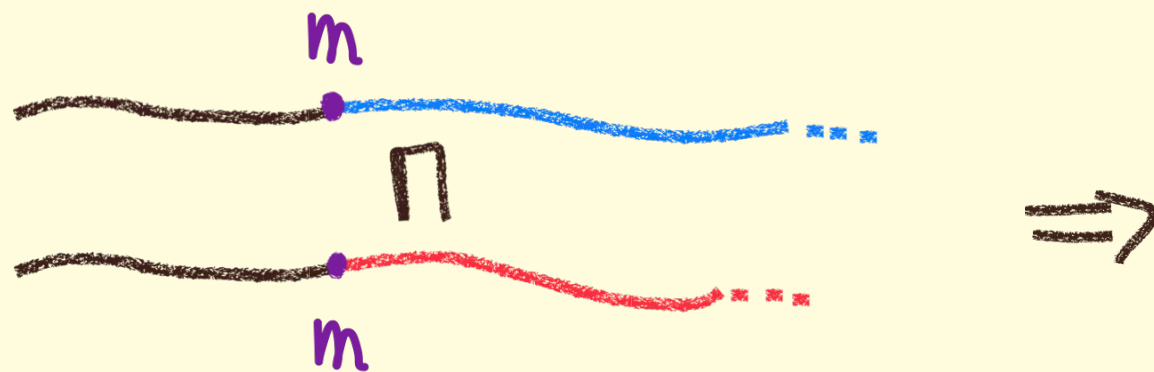


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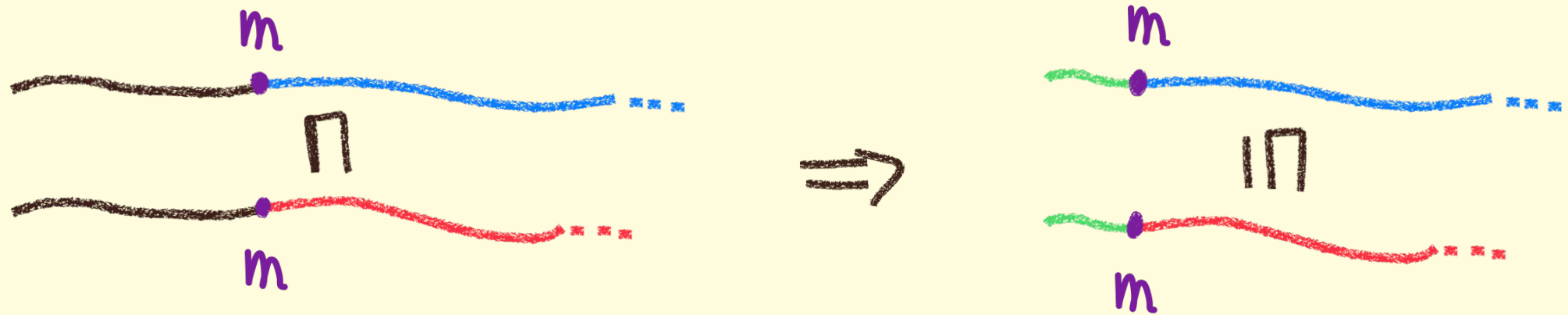


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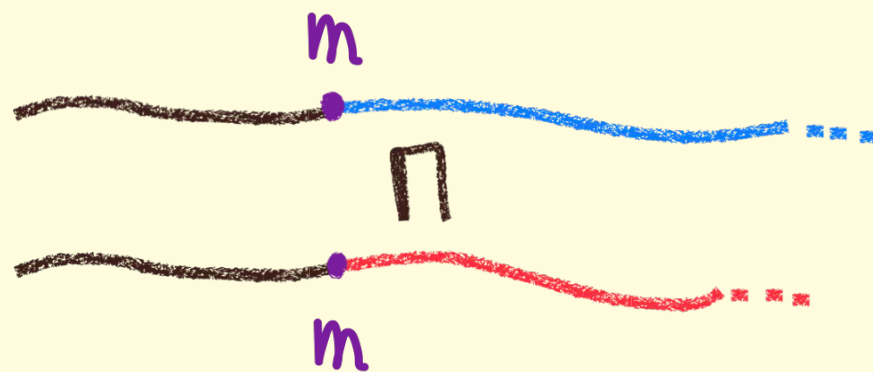


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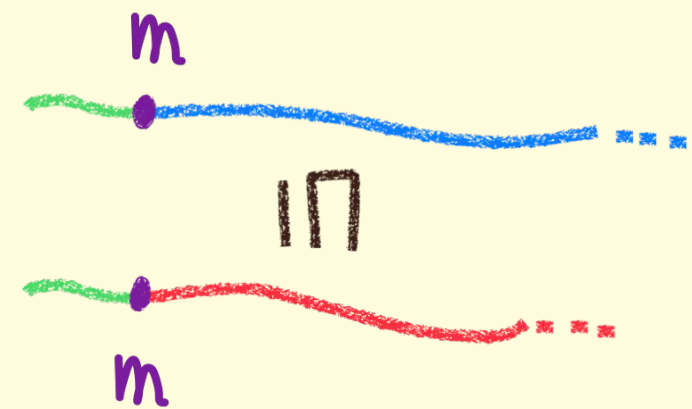
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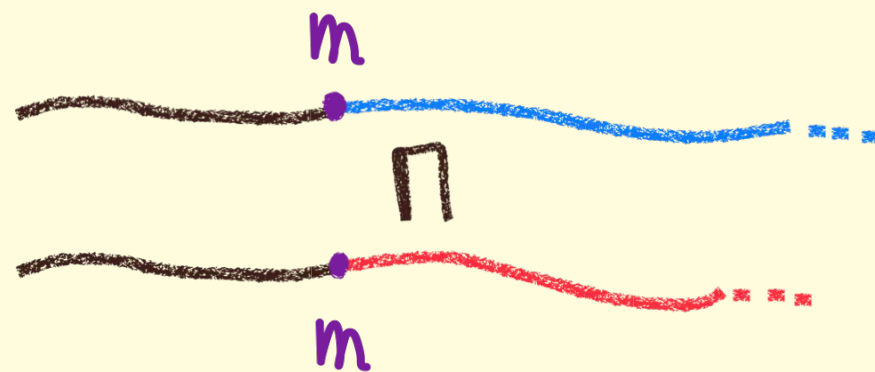
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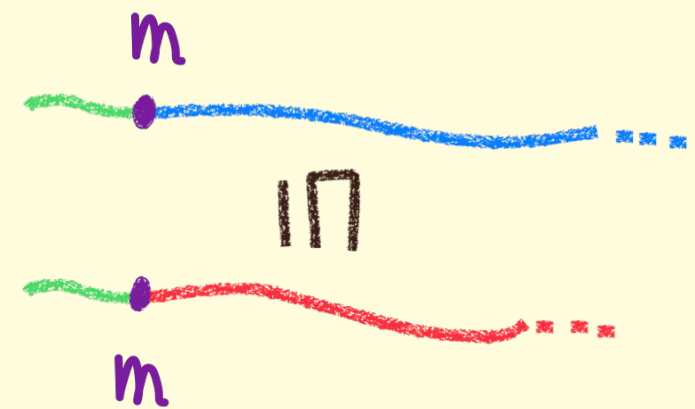
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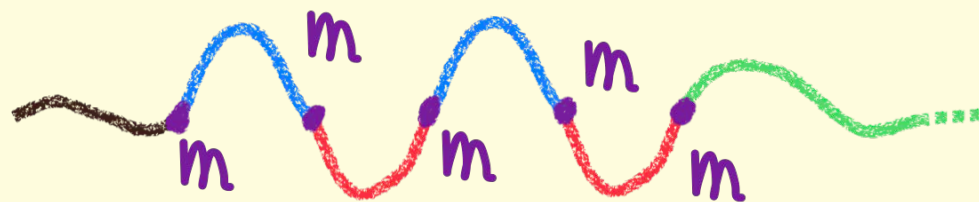
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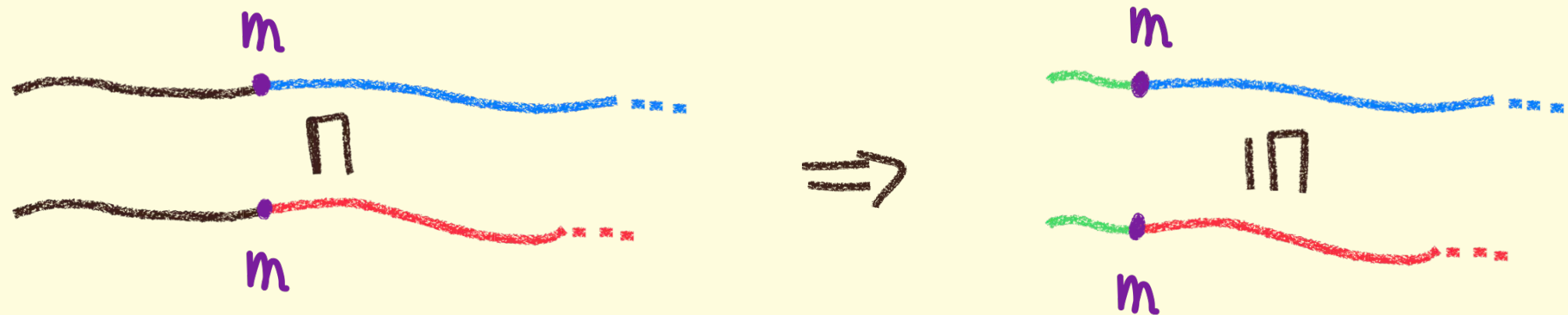


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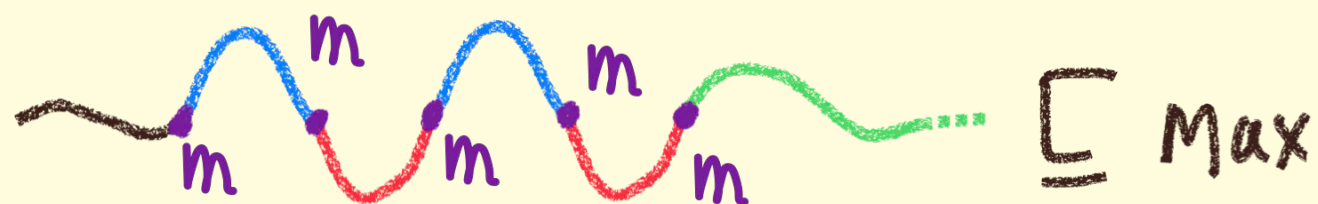
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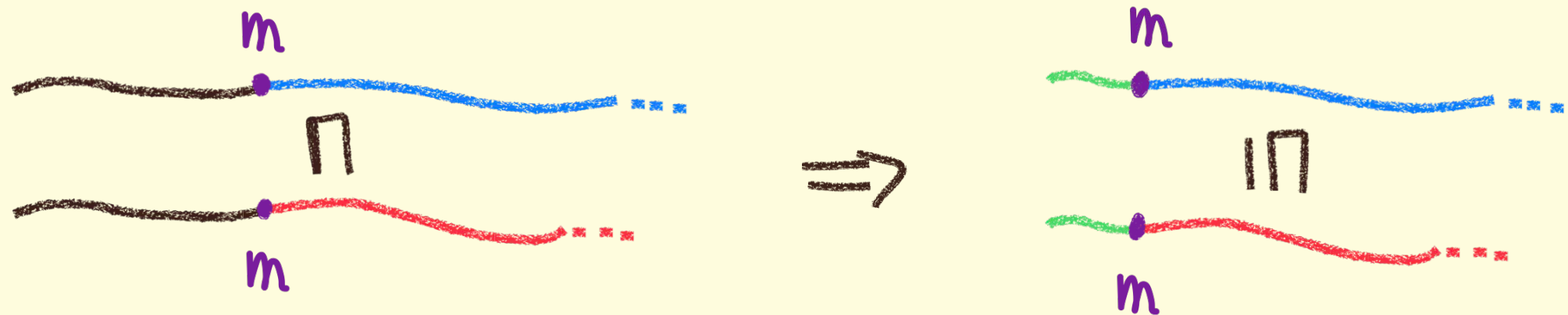


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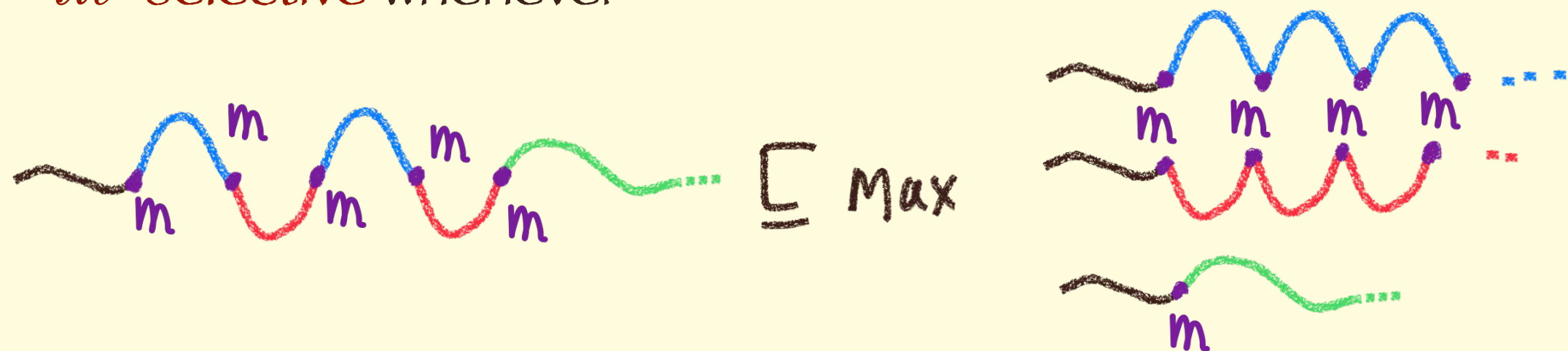
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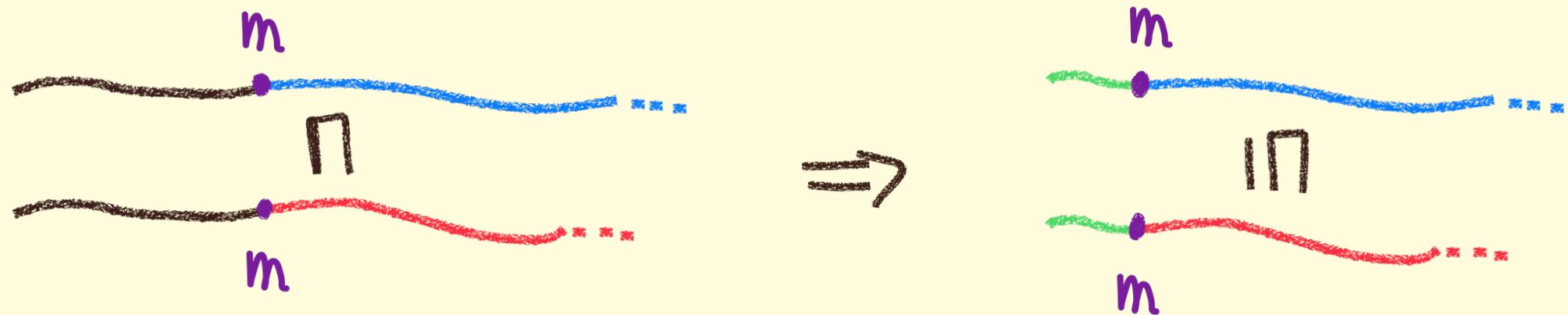


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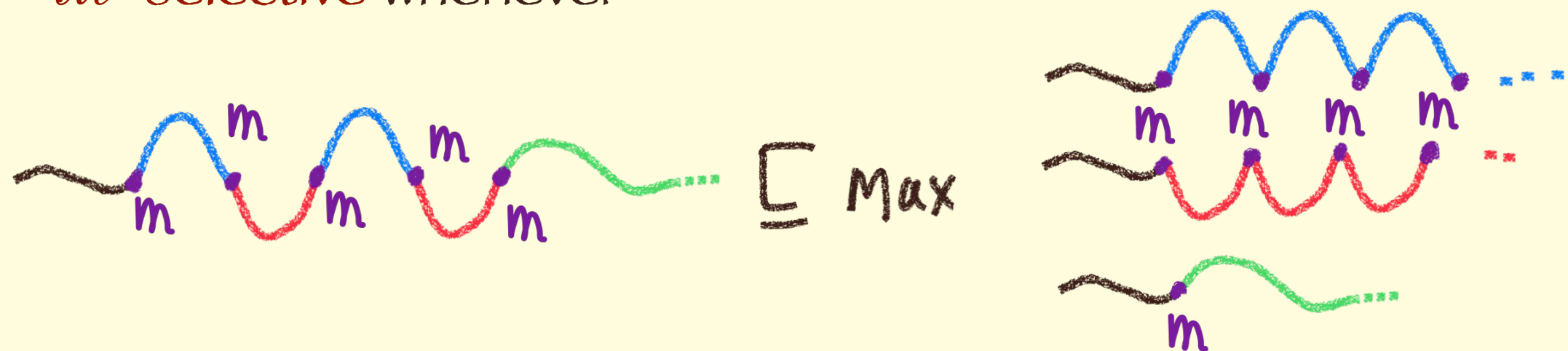
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We look at how $\mathcal{M}$ classifies prefixes and cycles

Formal definitions of $\mathcal{M}$ -monotony and $\mathcal{M}$ -selectivity

Definition ($\mathcal{M}$ -monotony)

Let $\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$ be a memory skeleton. A preference relation $\sqsubseteq$ is $\mathcal{M}$ -monotone if for all $m \in M$, for all $K_1, K_2 \in \mathcal{R}(C)$,

$$\exists w \in L_{m_{\text{init}}, m}, [wK_1] \sqsubset [wK_2] \implies \forall w' \in L_{m_{\text{init}}, m}, [w'K_1] \sqsubseteq [w'K_2].$$

Definition ($\mathcal{M}$ -selectivity)

Let $\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$ be a memory skeleton. A preference relation $\sqsubseteq$ is $\mathcal{M}$ -selective if for all $w \in C^*$, $m = \widehat{\alpha_{\text{upd}}}(m_{\text{init}}, w)$, for all $K_1, K_2 \in \mathcal{R}(C)$ such that $K_1, K_2 \subseteq L_{m, m}$, for all $K_3 \in \mathcal{R}(C)$,

$$[w(K_1 \cup K_2)^* K_3] \sqsubseteq [wK_1^*] \cup [wK_2^*] \cup [wK_3].$$

Our characterization for $\mathcal{M}$ -determinacy

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Characterization - Two-player games

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The two following assertions are equivalent :

1. All finite games have optimal $\mathcal{M}$ -strategies for both players
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➡ We recover [GZ05] with $\mathcal{M} = \mathcal{M}_{\text{triv}}$

Applications

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Transfer/Lifting theorem

- If in all finite one-player game for player P_i , P_i has optimal $\mathcal{M}_i$ -strategies, then both players have optimal $\mathcal{M}_1 \times \mathcal{M}_2$ -strategies in all finite two-player games.

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Subclasses of games

- If both $\sqsubseteq$ and $\sqsubseteq^{-1}$ are $\mathcal{M}$ -monotone and $\mathcal{M}$ -selective, then both players have optimal **memoryless** strategies in all **$\mathcal{M}$ -covered** games.

Memory-covered arenas

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If the game has enough information from $\mathcal{M}$,
then memoryless strategies will be sufficient

Memory-covered arenas

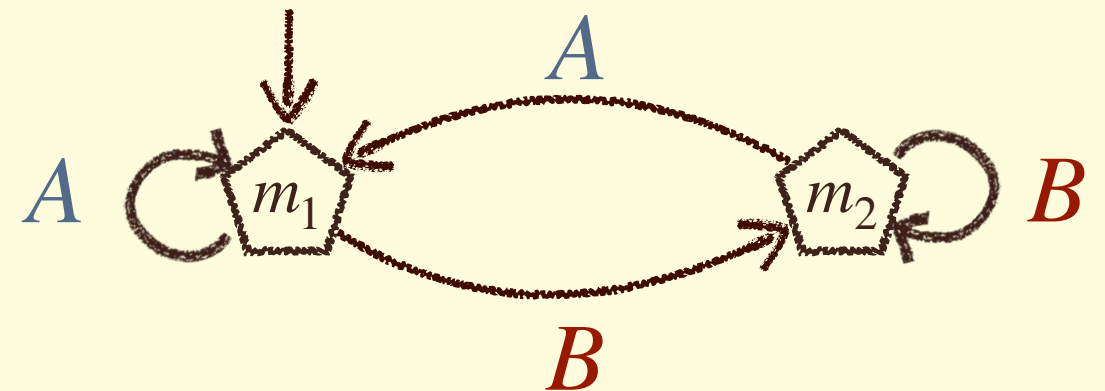
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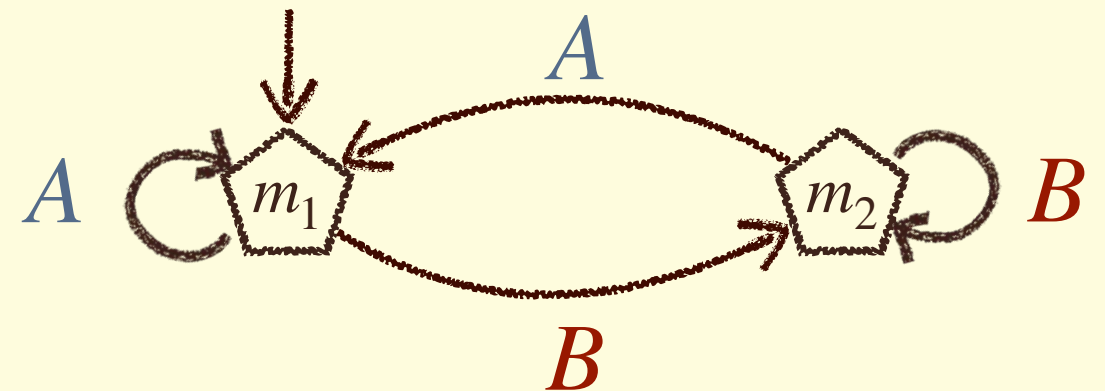
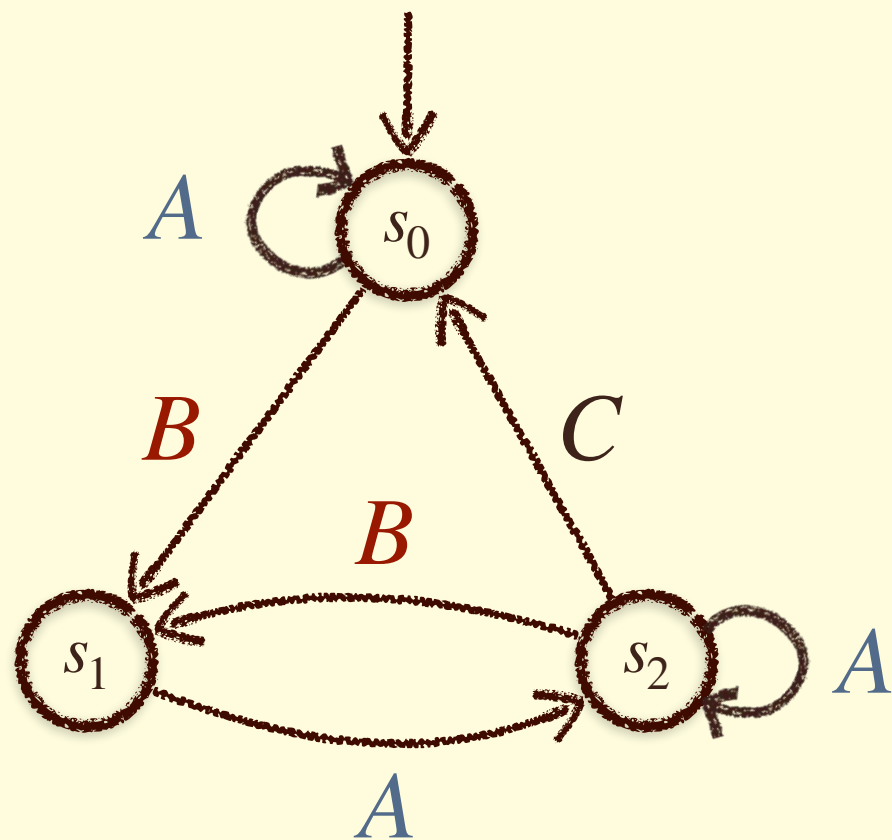
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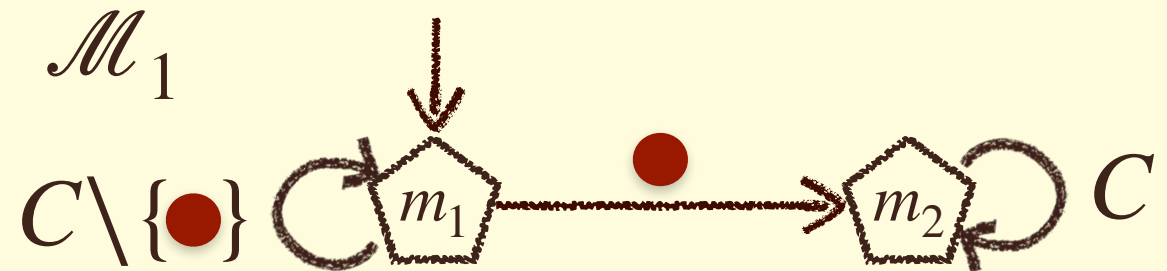


Example of application

$\sqsubseteq$ defined by a conjunction of reachability $\text{Reach}(\bullet) \wedge \text{Reach}(\bullet)$

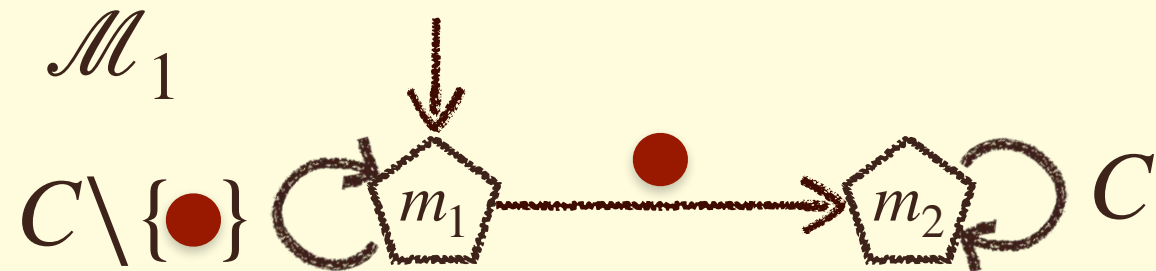
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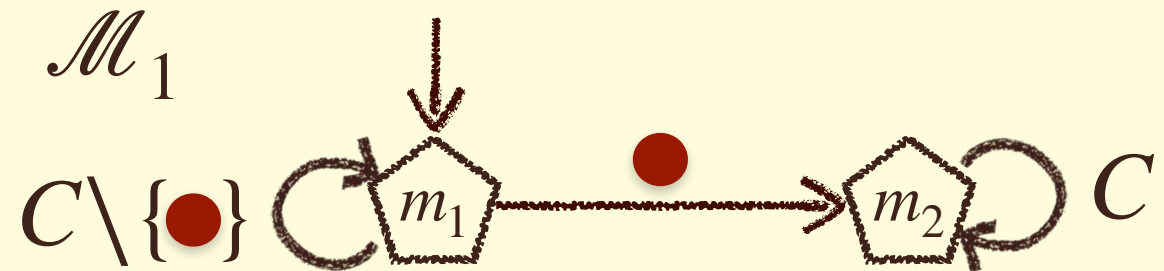
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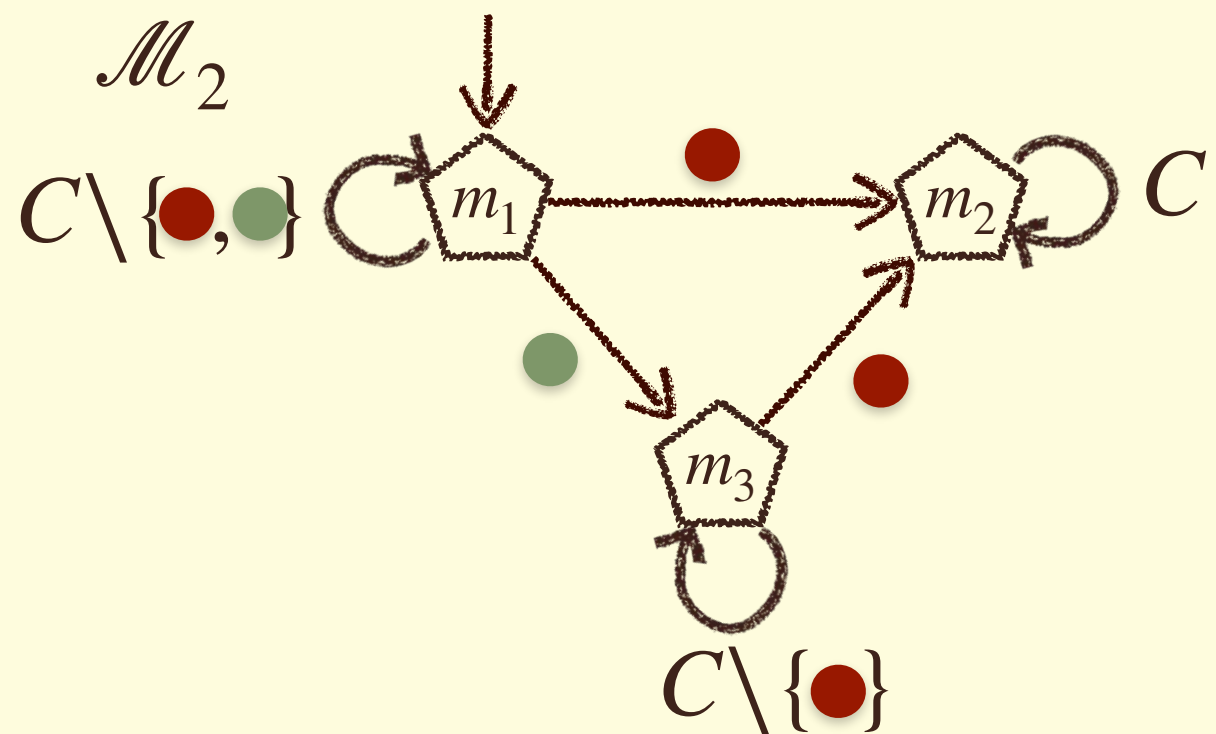
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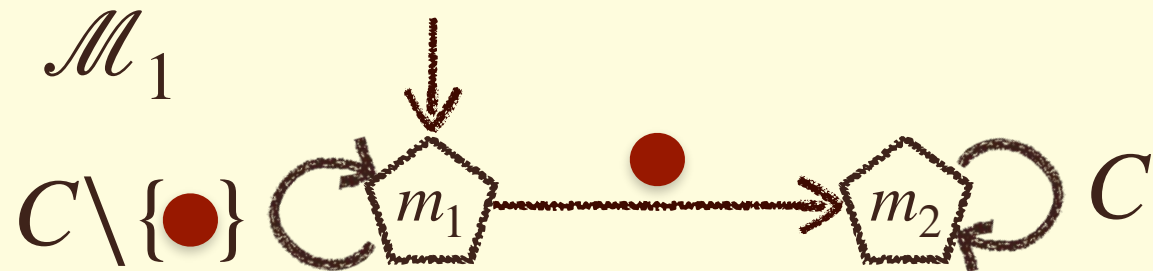


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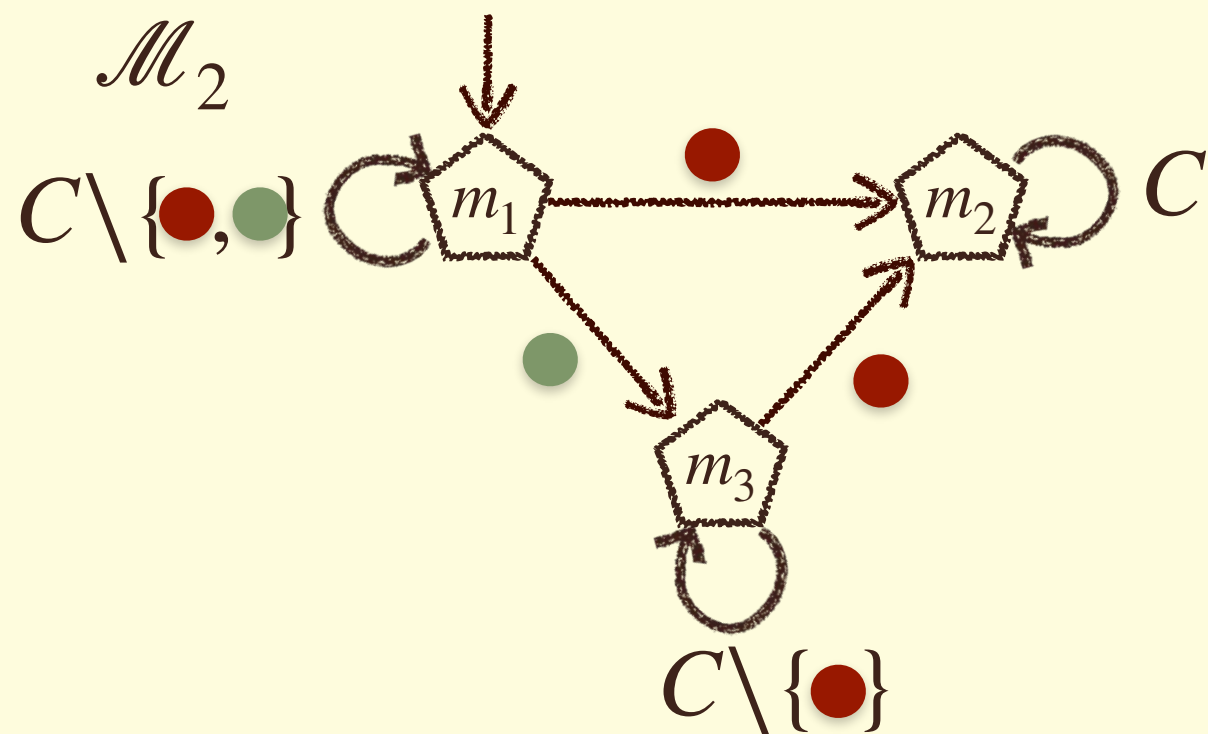


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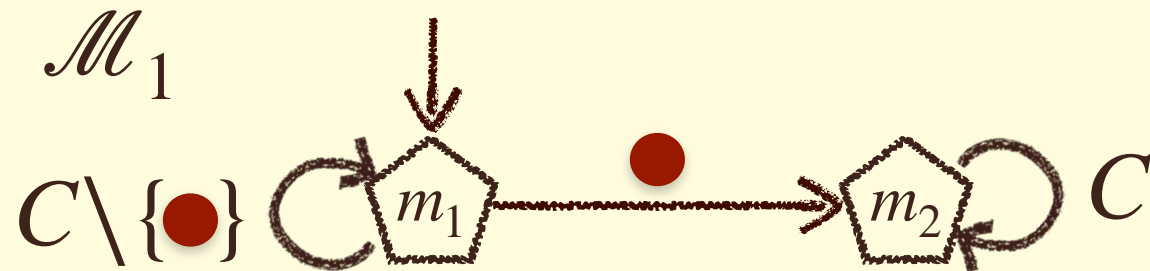
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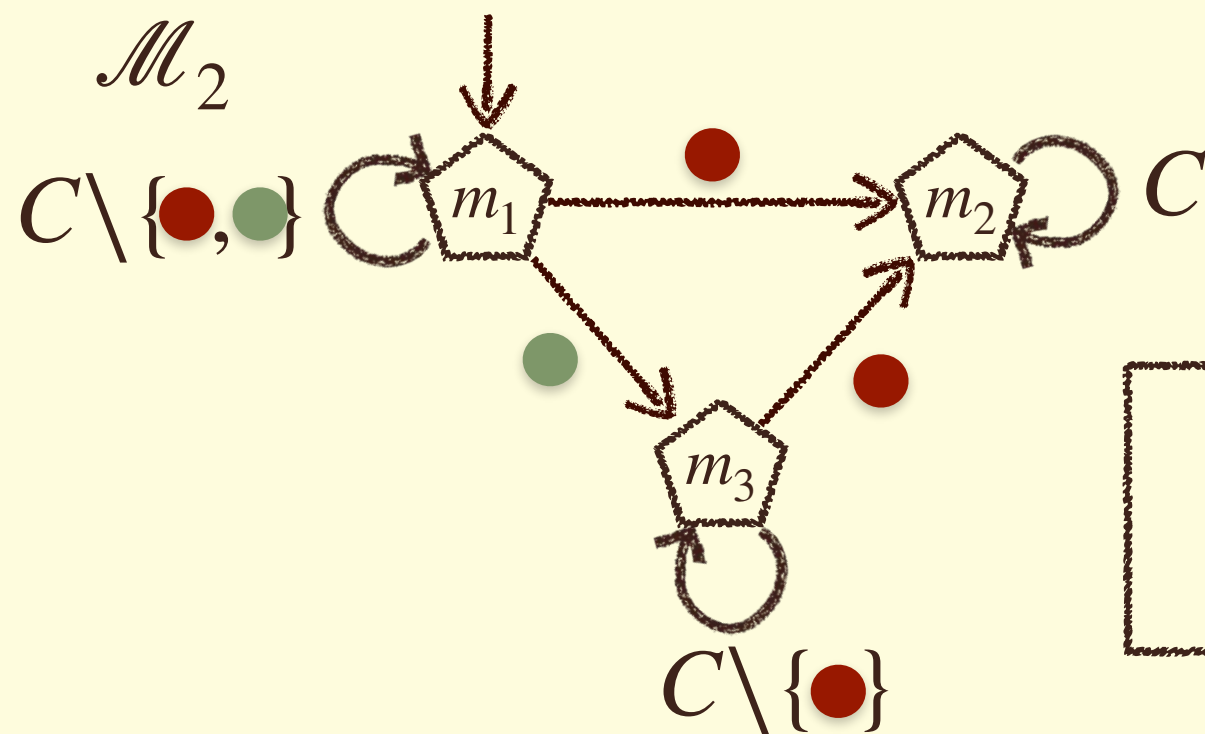
$\sqsubseteq$ is $\mathcal{M}_2$ -selective

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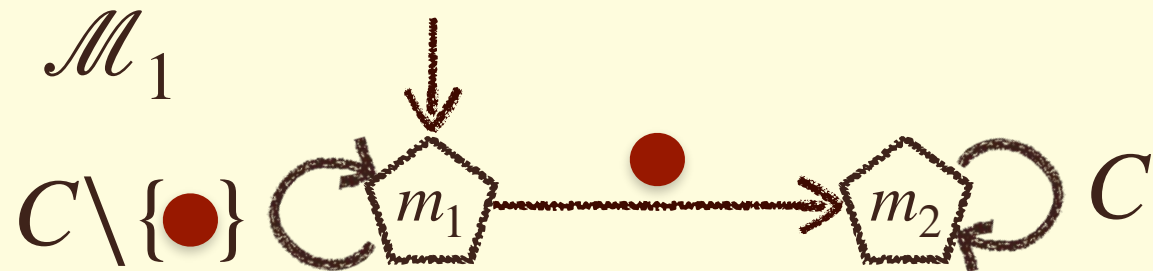


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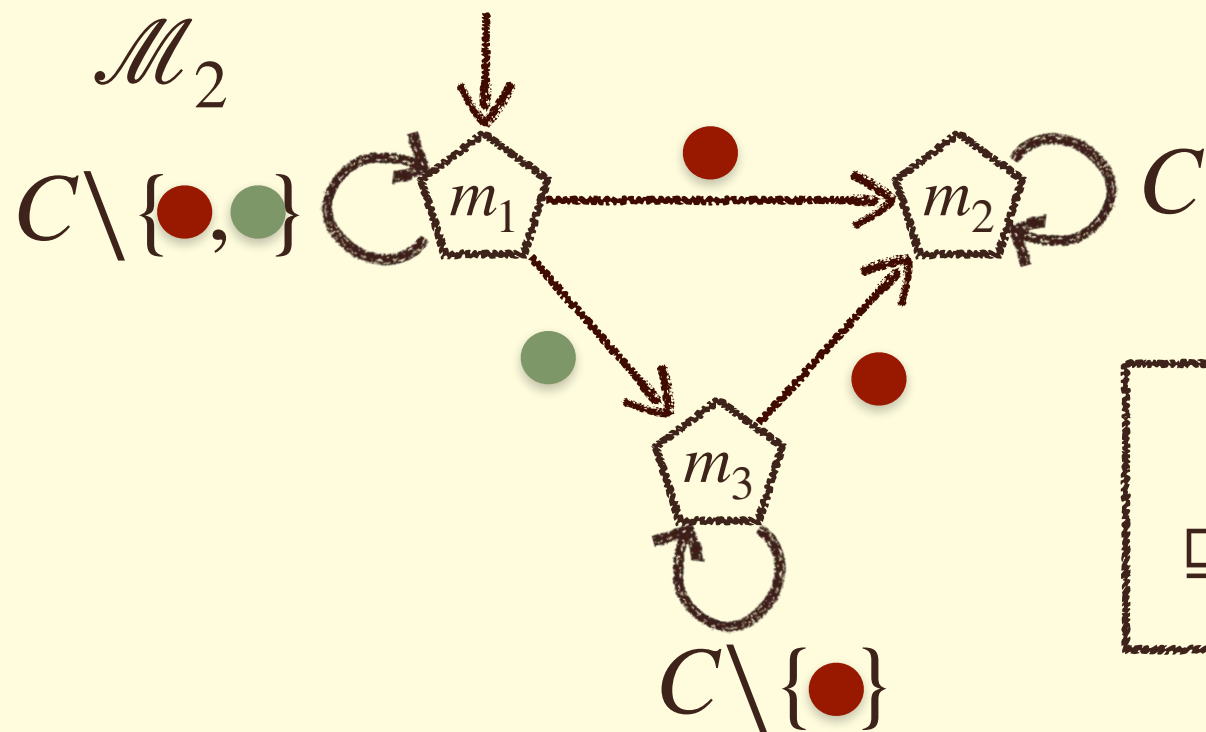
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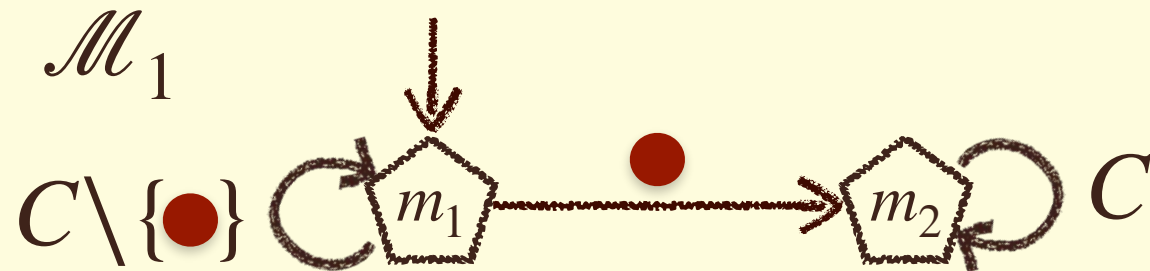


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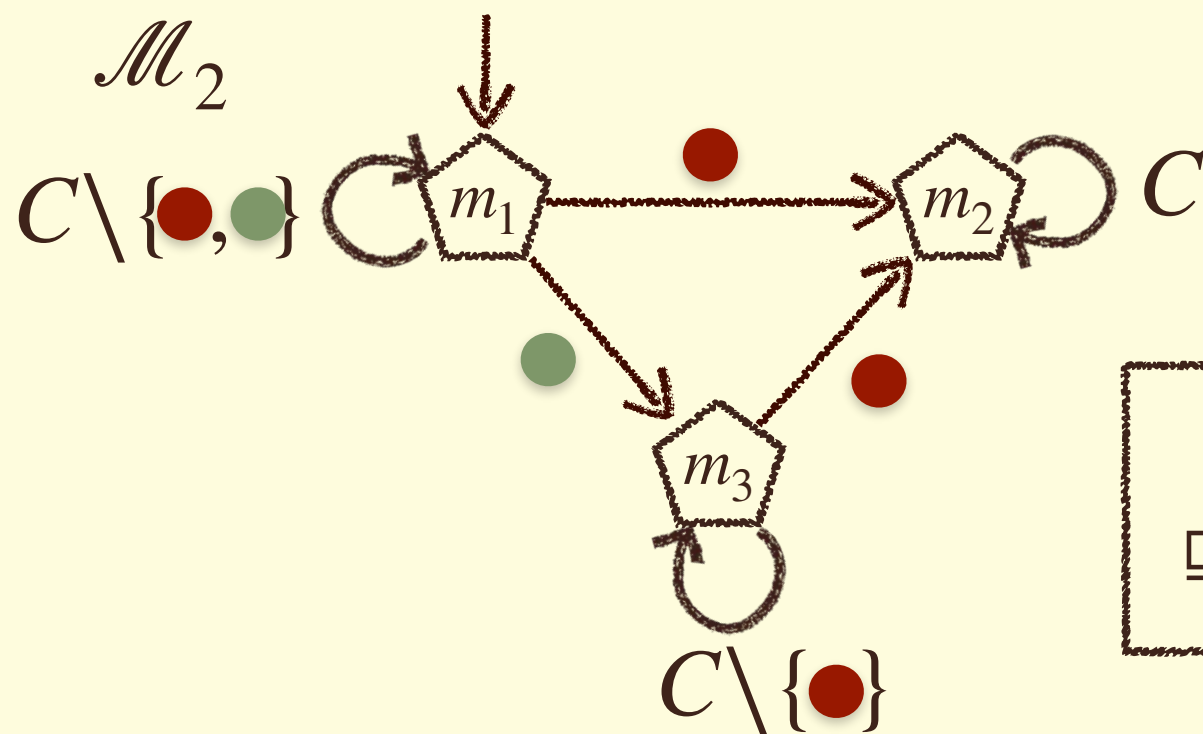
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➔ Memory $\mathcal{M}_2$ is sufficient for both players!!

Conclusion

A generalization of [GZ05]

- To arena-independent finite memory
- Applies to generalized reachability or parity, lower- and upper-bounded (multi-dimension) energy games

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Limitations

- Does only capture arena-independent finite memory
- Hard to generalize (remember counter-example)
- Does not apply to multi-dim. MP, MP+parity, energy+MP (infinite memory)

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Other approaches

- Sufficient conditions giving half-memory management results
- Compositionality w.r.t. objectives [LPR18]

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Further work

- Understand the arena-dependent framework
- Infinite arenas
- Probabilistic setting
- Other concepts (Nash equilibria)